|  |
| --- |
| EBA/GL/2014/09 Appendix 1 |
| 22 September 2014 – Updated —7 April 2017 |
| GL/2014/09 + Appendix 1 |
|  |

Compliance Table - Guidelines

Based on information supplied by them, the following competent authorities comply or intend to comply with: EBA Guidelines – EBA/GL/2014/09 on Guidelines on Tests, Reviews, Exercises that may lead to support Measures – published on 22nd September 2014.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Member State | | Competent authority | Complies or intends to comply | Comments |
| BE | Belgium | National Bank of Belgium | **Yes** |  |
| BG | Bulgaria | Българска народна банка (Bulgarian National Bank) | **Yes** |  |
| CZ | Czech Republic | Česká Národni Banka (Czech National Bank) | **Yes** |  |
| DK | Denmark | Finanstilsynet (Danish Financial Supervisory Authority) | **Yes** |  |
| DE | Germany | Bundesanstalt für Finanzdienstleistungsaufsicht (Federal Financial Supervisory Authority) | **Yes** |  |
| EE | Estonia | Finantsinspektsioon (Financial Supervision Authority) | **Yes** |  |
| IE | Ireland | Central Bank of Ireland | **Yes** |  |
| EL | Greece | Τράπεζα της Ελλάδος (Bank of Greece) | **Yes** |  |
| HR | Croatia | National Bank of Croatia | **Yes** |  |
| ES | Spain | Banco de España (Bank of Spain) | **Yes** |  |
| FROB Executive Resolution Authority | **Yes** | Updated: 07.04.2017:  As marked, FROB complies with the Guidelines as of the date of this notification. Nevertheless, please note that this compliance is in accordance with the powers granted to FROB as Executive Resolution Authority as stated in article 2, paragraph 1, letter (d) of Law 11/2015, of 18 June, on recovery and resolution of credit institutions and investment firms which transposes the Directive 201 4/59/EU in Spain |
| FR | France | Autorité de Contrôle Prudentiel et de Résolution (Prudential Supervisory & Resolution Authority) | **Yes** |  |
| IT | Italy | Banca d'Italia (Bank of Italy) | **Yes** |  |
| CY | Cyprus | Κεντρική Τράπεζα της Κύπρου (Central Bank of Cyprus) | **Yes** |  |
| LV | Latvia | Finanšu un Kapitāla tirgus Komisija (Financial and Capital Market Commission) | **Yes** | **Updated – 14.12.2016** |
| LT | Lithuania | Lietuvos Bankas (Bank of Lithuania) | **Yes** | **Updated - 23.11.2016** |
| LU | Luxembourg | Commission de Surveillance du Secteur Financier (Commission for the Supervision of Financial Sector) | **Yes** | 1. Please note that at this stage the Bank Recovery and Resolution Directive, and hence Art. 32, has not been transposed in national law. 2. With regard to the Reporting requirements (Part 3, point 3 of the guidelines), please note that Luxembourg has not yet designated a resolution authority and that it remains unknown, at this stage, whether Article 32(2) BRRD will be implemented in Luxembourg law. |
| HU | Hungary | Magyar Nemzeti Bank (Central Bank of Hungary) | **Yes** |  |
| MT | Malta | Malta Financial Services Authority | **Yes** | **Updated – 09.10.2015** |
| NL | Netherlands | De Nederlandsche Bank (National Bank of Netherlands) | **Yes** |  |
| AT | Austria | Finanzmarktaufsicht (Financial Market Authority) | **Yes** |  |
| PL | Poland | Komisja Nadzoru Finansowego (Polish Financial Supervision Authority) | **Yes** |  |
| PT | Portugal | Banco de Portugal (Bank of Portugal) | **Yes** |  |
| RO | Romania | Banca Naţională a României (National Bank of Romania) | **Yes** |  |
| SI | Slovenia | Banka Slovenije (Bank of Slovenia) | **Yes** |  |
| SK | Slovakia | Národná Banka Slovenska (National Bank of Slovakia) | **Partial** | The primary legislation that refers to public recapitalization not triggering resolution is in the legislative process with estimated adoption by end of this year. As soon as the primary legislation is in force, we’ll ensure full compliance with the guideline. |
| FI | Finland | Finanssivalvonta (Finnish Financial Supervisory Authority) | **Yes** | **Updated – 3.11.2015** |
| SE | Sweden | Finansinspektionen (Swedish Financial Supervisory Authority | **Yes** |  |
| UK | United Kingdom | Prudential Regulation Authority (Bank of England) | **Yes** |  |
| Financial Conduct Authority (FCA) | **Yes** |  |
| Financial Services Commission (Gibraltar) | **Yes** |  |
| EEA-EFTA State | | | | |
| IS | Iceland | Fjármálaeftirlitið (Icelandic Financial Supervisory Authority - FME) | **Partial** | BRRD has not yet been incorporated into the EEA Agreement. Nonetheless, implementation of BRRD is in progress. The Ministry of Finance and Economic Affairs, The FME and The Central Bank of Iceland are involved in that work. The EEA EFTA States remain engaged in a dialogue with the EU on how their participation in the European System of Financial Supervision will be secured. |
| LI | Liechtenstein | Finanzmarktaufsicht - FMA (Financial Market Authority) | **Yes** | The Financial Market Authority Liechtenstein (FMA) as the competent authority in charge of the national implementation of financial market related regulations acknowledges the publication of the EBA Guidelines on the types of tests, reviews or exercises that may lead to support measures under Article 32(4)(d)(iii) of the Bank Recovery and Resolution Directive (EBA/GL/2014/09). Currently, Liechtenstein is only admitted to EBA’s Board of Supervisors as observer and is not vested with any voting rights under the EBA Regulation (EU) No. 1093/2010. Nevertheless, the FMA will comply with these Guidelines considering the time frame of the BRRD legislation in Liechtenstein. |
| NO | Norway | Finanstilsynet (Norwegian Financial Supervisory Authority | **Yes** |  |

Notes

Article 16(3) of the EBA Regulation requires national competent authorities to inform us whether they comply or intend to comply with each guideline or recommendation we issue. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.

The EBA endeavours to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, the EBA cannot accept responsibility for its contents or any reliance placed on it.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from our website ([www.eba.europa.eu](http://www.eba.europa.eu)).