Standard Chartered Bank name:

weed Dools Date			
neral Bank Data			
Section 1 - General Information	GSIB	Response	
a. General information provided by the relevant supervisory authority:			
(1) Country code	1001	GB	1.a.(1)
(2) Bank name	1002	StandardChartered	1.a.(2)
(3) Reporting date (yyyy-mm-dd)	1003	2015-12-31	1.a.(3)
(4) Reporting currency	1004	USD	1.a.(4)
(5) Euro conversion rate	1005	0.918526683	1.a.(5)
b. General Information provided by the reporting institution:			
(1) Reporting unit	1007	1,000,000	1.b.(1)
(2) Accounting standard	1008	IFRS	1.b.(2)
(3) Date of public disclosure (yyyy-mm-dd)	1009	2016-04-30	1.b.(3)
(4) Language of public disclosure	1010	English	1.b.(4)
(5) Web address of public disclosure	1011	http://investors.sc.com/en/showresults.cfm?CategoryID=360	1.b.(5)
e Indicator			
Section 2 - Total Exposures	GSIB	Amount	
a. Derivatives			
(1) Counterparty exposure of derivatives contracts	1012	14,329	2.a.(1)
(2) Capped notional amount of credit derivatives	1201	8,922	2.a.(2)
(3) Potential future exposure of derivative contracts	1018	58,379	2.a.(3)
b. Securities financing transactions (SFTs)			
(1) Adjusted gross value of SFTs	1013	36,765	2.b.(1)
(2) Counterparty exposure of SFTs	1014	11,299	2.b.(2)
c. Other assets	1015	540,197	2.c.
d. Gross notional amount of off-balance sheet items			
(1) Items subject to a 0% credit conversion factor (CCF)	1019	125,099	2.d.(1)
(2) Items subject to a 20% CCF	1022	17,167	2.d.(2)
(3) Items subject to a 50% CCF	1023	71,126	2.d.(3)
(4) Items subject to a 100% CCF	1024	14,154	2.d.(4)
e. Regulatory adjustments	1031		2.e.
f. Total exposures indicator (Total exposures prior to regulatory adjustments) (sum of items 2.a.(1)			
thorough 2.c, 0.1 times 2.d.(1), 0.2 times 2.d.(2), 0.5 times 2.d.(3), and 2.d.(4))	1103	735,551	2.f.
erconnectedness Indicators			
Section 3 - Intra-Financial System Assets	GSIB	Amount 52,905	
Section 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions	1033	53,895	3.a.
Section 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit	1033 1034	53,895 4,163	3.a. 3.a.(1)
Section 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions	1033	53,895	3.a.
Section 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions:	1033 1034 1035	53,895 4,163 9,180	3.a. 3.a.(1) 3.b.
Section 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities	1033 1034 1035 1036	53,895 4,163 9,180 5,962	3.a. 3.a.(1) 3.b. 3.c.(1)
Section 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities	1033 1034 1035 1036 1037	53,895 4,163 9,180 5,962 15,721	3.a. 3.a.(1) 3.b. 3.c.(1) 3.c.(2)
a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities	1033 1034 1035 1036 1037 1038	53,895 4,163 9,180 5,962 15,721 811	3.a. 3.a.(1) 3.b. 3.c.(1) 3.c.(2) 3.c.(3)
a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper	1033 1034 1035 1036 1037 1038 1039	53,895 4,163 9,180 5,962 15,721 811 0	3.a. 3.a.(1) 3.b. 3.c.(1) 3.c.(2) 3.c.(3) 3.c.(4)
Section 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities	1033 1034 1035 1036 1037 1038 1039	53,895 4,163 9,180 5,962 15,721 811 0 3,779	3.a. (1) 3.b. 3.c.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5)
Section 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)	1033 1034 1035 1036 1037 1038 1039 1040	53,895 4,163 9,180 5,962 15,721 811 0 3,779 1,238	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6)
a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value:	1033 1034 1035 1036 1037 1038 1039	53,895 4,163 9,180 5,962 15,721 811 0 3,779	3.a. (1) 3.b. 3.c.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5)
a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042	53,895 4,163 9,180  5,962 15,721 811 0 3,779 1,238 7,885	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(2) 3.c.(4) 3.c.(5) 3.c.(6) 3.d.
a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2),	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042	53,895 4,163 9,180 5,962 15,721 811 0 0 3,779 1,238 7,885	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d.
Section 3 - Intra-Financial System Assets  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions  c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities  (2) Senior unsecured debt securities  (3) Subordinated debt securities  (4) Commercial paper  (5) Equity securities  (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)  d. Net positive current exposure of securities financing transactions with other financial institutions  e. Over-the-counter derivatives with other financial institutions that have a net positive fair value:  (1) Net positive fair value  (2) Potential future exposure	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042	53,895 4,163 9,180  5,962 15,721 811 0 3,779 1,238 7,885	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(2) 3.c.(4) 3.c.(5) 3.c.(6) 3.d.
Section 3 - Intra-Financial System Assets  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions  c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities  (2) Senior unsecured debt securities  (3) Subordinated debt securities  (4) Commercial paper  (5) Equity securities  (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)  d. Net positive current exposure of securities financing transactions with other financial institutions  e. Over-the-counter derivatives with other financial institutions that have a net positive fair value:  (1) Net positive fair value  (2) Potential future exposure  f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044	53,895 4,163 9,180  5,962 15,721 811 0 3,779 1,238 7,885  12,260 27,971	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1)
Section 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042	53,895 4,163 9,180 5,962 15,721 811 0 3,779 1,238 7,885	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1)
a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  Section 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions:	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045	53,895 4,163 9,180  5,962 15,721 811 0 3,779 1,238 7,885  12,260 27,971  136,226	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2)
a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financial institutions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  Section 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions: (1) Deposits due to depository institutions	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB	53,895 4,163 9,180  5,962 15,721 811 0 3,779 1,238 7,885  12,260 27,971  136,226	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(2) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 3.f.
a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  Section 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions: (1) Deposits due to depository institutions (2) Deposits due to non-depository financial institutions	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB	53,895 4,163 9,180 5,962 15,721 811 0 3,779 1,238 7,885 12,260 27,971 136,226  Amount	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 3.f.
Section 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  Section 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions: (1) Deposits due to depository institutions (2) Deposits due to non-depository financial institutions (3) Loans obtained from other financial institutions	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045	53,895 4,163 9,180 5,962 15,721 811 0 0 3,779 1,238 7,885 12,260 27,971 136,226  Amount 41,684 82,270 0	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 3.f.
a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  Section 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions: (1) Deposits due to depository institutions (2) Deposits due to non-depository financial institutions (3) Loans obtained from other financial institutions (5) Unused portion of committed lines obtained from other financial institutions	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB	53,895 4,163 9,180  5,962 15,721 811 0 3,779 1,238 7,885  12,260 27,971  136,226  Amount  41,684 82,270 0 4,487	3.a. 3.a.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 3.f.
a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  Section 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions: (1) Deposits due to depository institutions (2) Deposits due to non-depository financial institutions (3) Loans obtained from other financial institutions b. Unused portion of committed lines obtained from other financial institutions c. Net negative current exposure of securities financing transactions with other financial institutions	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045	53,895 4,163 9,180 5,962 15,721 811 0 0 3,779 1,238 7,885 12,260 27,971 136,226  Amount 41,684 82,270 0	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 3.f.
a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  Section 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions: (1) Deposits due to depository financial institutions (2) Deposits due to non-depository financial institutions (3) Loans obtained from other financial institutions b. Unused portion of committed lines obtained from other financial institutions c. Net negative current exposure of securities financing transactions with other financial institutions d. Over-the-counter derivatives with other financial institutions that have a net negative fair value:	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB 1046 1047 1105 1048 1049	53,895 4,163 9,180  5,962 15,721 811 0 3,779 1,238 7,885  12,260 27,971  136,226  Amount  41,684 82,270 0 4,487 7,293	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.d. 3.e.(1) 3.e.(2) 3.f.  4.a.(1) 4.a.(2) 4.a.(3) 4.b. 4.c.
a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  Section 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions: (1) Deposits due to depository institutions (2) Deposits due to non-depository financial institutions (3) Loans obtained from other financial institutions b. Unused portion of committed lines obtained from other financial institutions c. Net negative current exposure of securities financial institutions with other financial institutions (1) Net negative durrent exposure of securities financial institutions that have a net negative fair value: (1) Net negative fair value	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB	53,895 4,163 9,180 5,962 15,721 811 0 3,779 1,238 7,885 12,260 27,971 136,226  Amount  41,684 82,270 0 0 4,487 7,293	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.d. 3.e.(1) 3.e.(2) 3.f.  4.a.(1) 4.a.(2) 4.a.(3) 4.b. 4.c. 4.d.(1)
a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financial institutions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  Section 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions: (1) Deposits due to depository institutions (2) Deposits due to depository institutions (3) Loans obtained from other financial institutions b. Unused portion of committed lines obtained from other financial institutions c. Net negative current exposure of securities financing transactions with other financial institutions d. Over-the-counter derivatives with other financial institutions that have a net negative fair value: (1) Net negative fair value (2) Potential future exposure	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB 1046 1047 1105 1048 1049	53,895 4,163 9,180  5,962 15,721 811 0 3,779 1,238 7,885  12,260 27,971  136,226  Amount  41,684 82,270 0 4,487 7,293	3.a. 3.a.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 3.f.  4.a.(1) 4.a.(2) 4.a.(3) 4.b. 4.c. 4.d.(1) 4.d.(2)
a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  Section 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions: (1) Deposits due to depository institutions (2) Deposits due to non-depository financial institutions (3) Loans obtained from other financial institutions b. Unused portion of committed lines obtained from other financial institutions c. Net negative current exposure of securities financial institutions with other financial institutions (1) Net negative durrent exposure of securities financial institutions that have a net negative fair value: (1) Net negative fair value	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB	53,895 4,163 9,180 5,962 15,721 811 0 3,779 1,238 7,885 12,260 27,971 136,226  Amount  41,684 82,270 0 0 4,487 7,293	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.d. 3.e.(1) 3.e.(2) 3.f.  4.a.(1) 4.a.(2) 4.a.(3) 4.b. 4.c. 4.d.(1)
a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  Section 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions: (1) Deposits due to depository institutions (2) Deposits due to depository institutions (3) Loans obtained from other financial institutions b. Unused portion of committed lines obtained from other financial institutions c. Net negative current exposure e. Intra-financial system liabilities indicator (sum of items 4.a.(1) through 4.d.(2))	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB 1046 1047 1105 1048 1049	53,895 4,163 9,180  5,962 15,721 811 0 3,779 1,238 7,885  12,260 27,971  136,226  Amount  41,684 82,270 0 4,487 7,293	3.a. 3.a.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 3.f.  4.a.(1) 4.a.(2) 4.a.(3) 4.b. 4.c. 4.d.(1) 4.d.(2)
a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  Section 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions: (1) Deposits due to depository institutions (2) Deposits due to non-depository financial institutions (3) Loans obtained from other financial institutions b. Unused portion of committed lines obtained from other financial institutions c. Net negative current exposure f. Intra-financial institutions h. Unused portion of committed lines obtained from other financial institutions (3) Loans obtained from other financial institutions (4) Ver-the-counter derivatives with other financial institutions that have a net negative fair value: (1) Net negative fair value (2) Potential future exposure e. Intra-financial system liabilities indicator (sum of items 4.a.(1) through 4.d.(2))	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB 1046 1047 1105 1048 1049	53,895 4,163 9,180  5,962 15,721 811 0 3,779 1,238 7,885  12,260 27,971  136,226  Amount  41,684 82,270 0 4,487 7,293  14,786 10,921 161,441	3.a. 3.a.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 3.f.  4.a.(1) 4.a.(2) 4.a.(3) 4.b. 4.c. 4.d.(1) 4.d.(2)
a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure (5) Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  Section 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions: (1) Deposits due to depository institutions (2) Deposits due to depository institutions (3) Loans obtained from other financial institutions b. Unused portion of committed lines obtained from other financial institutions c. Net negative current exposure e. Intra-financial system liabilities indicator (sum of items 4.a.(1) through 4.d.(2))  Section 5 - Securities Outstanding	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB 1046 1047 1105 1048 1049	53,895 4,163 9,180  5,962 15,721 811 0 3,779 1,238 7,885  12,260 27,971  136,226  Amount  41,684 82,270 0 4,487 7,293  14,786 10,921 161,441	3.a. 3.a.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 3.f.  4.a.(1) 4.a.(2) 4.a.(3) 4.b. 4.c.  4.d.(1) 4.d.(2) 4.e.
a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  Section 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions: (1) Deposits due to depository institutions (2) Deposits due to non-depository financial institutions b. Unused portion of committed lines obtained from other financial institutions c. Net negative current exposure of securities financing transactions with other financial institutions d. Over-the-counter derivatives with other financial institutions that have a net negative fair value: (1) Net negative fair value (2) Potential future exposure e. Intra-financial system liabilities indicator (sum of items 4.a.(1) through 4.d.(2))	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB 1046 1047 1105 1048 1049	53,895 4,163 9,180  5,962 15,721 811 0 3,779 1,238 7,885  12,260 27,971  136,226  Amount  41,684 82,270 0 4,4,487 7,293  14,786 10,921 161,441  Amount	3.a. 3.a.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 3.f.  4.a.(1) 4.a.(2) 4.a.(3) 4.b. 4.c.  4.d.(1) 4.d.(2) 4.e.
Section 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  Section 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions: (1) Deposits due to non-depository financial institutions (2) Deposits due to non-depository financial institutions c. Net negative current exposure of securities financing transactions with other financial institutions c. Net negative current exposure of securities financial institutions that have a net negative fair value: (1) Net negative fair value (2) Potential future exposure e. Intra-financial system liabilities indicator (sum of items 4.a.(1) through 4.d.(2))	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 1045 1046 1047 1105 1048 1049 1050 1050 1050	53,895 4,163 9,180  5,962 15,721 811 0 3,779 1,238 7,885  12,260 27,971  136,226  Amount  41,684 82,270 0 4,487 7,293  14,786 10,921 161,441  Amount  0 21,238 22,427	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.d. 3.e.(1) 3.e.(2) 3.f.  4.a.(1) 4.a.(2) 4.a.(3) 4.b. 4.c. 4.d.(1) 4.d.(2) 4.e.
a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  Section 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions: (1) Deposits due to depository institutions (2) Deposits due to depository institutions (3) Loans obtained from other financial institutions b. Unused portion of committed lines obtained from other financial institutions c. Net negative current exposure of securities financing transactions with other financial institutions d. Over-the-counter derivatives with other financial institutions that have a net negative fair value: (1) Net negative fair value (2) Potential future exposure e. Intra-financial system liabilities indicator (sum of items 4.a.(1) through 4.d.(2))	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB 1046 1047 1105 1048 1049 1051 1052	53,895 4,163 9,180  5,962 15,721 811 0 3,779 1,238 7,885  12,260 27,971  136,226  Amount  41,684 82,270 0 4,487 7,293  14,786 10,921 161,441  Amount  0 21,238 22,427 21,005	3.a. 3.a.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.d. 3.e.(1) 3.e.(2) 3.f.  4.a.(1) 4.a.(2) 4.a.(3) 4.b. 4.c. 4.d.(1) 4.d.(2) 4.e.
a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  Section 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions: (1) Deposits due to depository institutions (2) Deposits due to depository institutions (3) Loans obtained from other financial institutions b. Unused portion of committed lines obtained from other financial institutions c. Net negative current exposure of securities financing transactions with other financial institutions d. Over-the-counter derivatives with other financial institutions that have a net negative fair value: (1) Net negative fair value (2) Potential future exposure e. Intra-financial system liabilities indicator (sum of items 4.a.(1) through 4.d.(2))	1033 1034 1035 1036 1037 1039 1040 1041 1042 1043 1044 1045 GSIB 1046 1047 1105 1048 1049 1050 1051 1052	53,895 4,163 9,180  5,962 15,721 811 0 3,779 1,238 7,885  12,260 27,971  136,226  Amount  41,684 82,270 0 4,487 7,293  14,786 10,921 161,441  Amount  0 21,238 22,427	3.a. 3.a.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 3.f.  4.a.(1) 4.a.(2) 4.a.(3) 4.b. 4.c.  4.d.(1) 4.d.(2) 4.e.
Section 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  Section 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions: (1) Deposits due to non-depository financial institutions (2) Deposits due to non-depository financial institutions (3) Loans obtained from other financial institutions b. Unused portion of committed lines obtained from other financial institutions c. Net negative current exposure of securities financing transactions with other financial institutions d. Over-the-counter derivatives with other financial institutions that have a net negative fair value: (1) Net negative fair value (2) Potential future exposure e. Intra-financial system liabilities indicator (sum of items 4.a.(1) through 4.d.(2))  Section 5 - Securities Outstanding a. Secured debt securities b. Senior unsecured debt securities c. Subordinated debt securities c. Subordinated debt securities c. Subordinated debt securities c. Certificates of deposit	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 1046 1047 1105 1048 1049 1050 1051 1052	53,895 4,163 9,180  5,962 15,721 811 0 3,779 1,238 7,885  12,260 27,971  136,226  Amount  41,684 82,270 0 44,487 7,293  14,786 10,921 161,441  Amount  0 21,238 22,427 21,005 21,205 20,283	3.a. 3.a.(1) 3.c.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 3.f.  4.a.(1) 4.a.(2) 4.a.(3) 4.b. 4.c.  4.d.(1) 4.d.(2) 4.e.  5.a. 5.b. 5.c. 5.d. 5.e.

Bank name: Standard Chartered

ection 1 - General Information	CCID	Poonerse	
a. General information  a. General information provided by the relevant supervisory authority:	GSIB	Response	
(1) Country code	1001	GB	1.a.(1)
(2) Bank name	1002	StandardChartered	1.a.(1)
(3) Reporting date (yyyy-mm-dd)	1003	2015-12-31	1.a.(3)
(4) Reporting currency	1004	USD	1.a.(4)
(5) Euro conversion rate	1005	0.918526683	1.a.(5)
b. General Information provided by the reporting institution:			
(1) Reporting unit	1007	1,000,000	1.b.(1)
(2) Accounting standard	1008	IFRS	1.b.(2)
(3) Date of public disclosure (yyyy-mm-dd)	1009	2016-04-30	1.b.(3)
(4) Language of public disclosure	1010	English	1.b.(4)
(5) Web address of public disclosure	1011	http://investors.sc.com/en/showresults.cfm?CategoryID=360	1.b.(5)
stitutability/Financial Institution Infrastructure Indicators			
ection 6 - Payments made in the reporting year (excluding intragroup payments)	GSIB	Amount	
a. Australian dollars (AUD)	1061	216,917	6.a.
b. Brazilian real (BRL)	1062	20,224	6.b.
c. Canadian dollars (CAD)	1063	183,083	6.c.
d. Swiss francs (CHF)	1064	63,242	6.d.
e. Chinese yuan (CNY)	1065	5,301,313	6.e.
f. Euros (EUR)	1066	1,923,451	6.f.
g. British pounds (GBP)	1067	1,263,408	6.g.
h. Hong Kong dollars (HKD) i. Indian rupee (INR)	1068	3,162,844	6.h.
j. Japanese yen (JPY)	1069	427,220 481,561	6.i. 6.j.
k. Swedish krona (SEK)	1070	29,345	6.k.
I. United States dollars (USD)	1071	19,369,685	6.I.
m. Payments activity indicator (sum of items 6.a through 6.l)	1073	32,442,293	6.m.
a. Assets under custody indicator	1074	Amount 905,675	7.a.
			7.a.
	1074	905,675	7.a. 8.a.
ection 8 - Underwritten Transactions in Debt and Equity Markets a. Equity underwriting activity b. Debt underwriting activity	GSIB 1075 1076	905,675  Amount  529 31,585	8.a. 8.b.
ection 8 - Underwritten Transactions in Debt and Equity Markets a. Equity underwriting activity	1074 GSIB 1075	905,675 Amount 529	8.a.
ection 8 - Underwritten Transactions in Debt and Equity Markets a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)	GSIB 1075 1076	905,675  Amount  529 31,585	8.a. 8.b.
a. Equity underwritten Transactions in Debt and Equity Markets a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)	GSIB 1075 1076 1077	905,675  Amount  529  31,585  32,114	8.a. 8.b.
a. Equity underwritten Transactions in Debt and Equity Markets a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  applexity indicators action 9 - Notional Amount of Over-the-Counter (OTC) Derivatives	1074  GSIB  1075  1076  1077	905,675  Amount  529 31,585 32,114  Amount	8.a. 8.b. 8.c.
a. Equity underwritten Transactions in Debt and Equity Markets a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)	GSIB 1075 1076 1077	905,675  Amount  529  31,585  32,114	8.a. 8.b.
a. Equity underwritten Transactions in Debt and Equity Markets a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  applexity indicators  activity indicators  activity indicators  activity indicators  activity indicators  activity indicators	GSIB 1075 1076 1077 GSIB 1078	Amount 529 31,585 32,114  Amount 1,001,819	8.a. 8.b. 8.c.
a. Equity underwritten Transactions in Debt and Equity Markets a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  plexity indicators  a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally	GSIB 1076 1077 GSIB 1078 1079	Amount  529 31,585 32,114  Amount  1,001,819 5,080,920	8.a. 8.b. 8.c. 9.a. 9.b.
ection 8 - Underwritten Transactions in Debt and Equity Markets  a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  plexity indicators  ection 9 - Notional Amount of Over-the-Counter (OTC) Derivatives  a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)	GSIB 1076 1077 GSIB 1078 1079	Amount  529 31,585 32,114  Amount  1,001,819 5,080,920	8.a. 8.b. 8.c. 9.a. 9.b.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  plexity indicators  a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT)	GSIB 1075 1076 1077 1077 1080 1080 GSIB 1081	Amount  529 31,585 32,114  Amount  1,001,819 5,080,920 6,082,739  Amount  17,078	8.a. 8.b. 8.c. 9.a. 9.b. 9.c.
ection 8 - Underwritten Transactions in Debt and Equity Markets  a. Equity underwriting activity  b. Debt underwriting activity  c. Underwriting activity indicator (sum of items 8.a and 8.b)  plexity indicators  ection 9 - Notional Amount of Over-the-Counter (OTC) Derivatives  a. OTC derivatives cleared through a central counterparty  b. OTC derivatives settled bilaterally  c. OTC derivatives indicator (sum of items 9.a and 9.b)  ection 10 - Trading and Available-for-Sale Securities  a. Held-for-trading securities (HFT)  b. Available-for-sale securities (AFS)	GSIB 1075 1076 1077	Amount  529 31,585 32,114  Amount  1,001,819 5,080,920 6,082,739  Amount  17,078 113,129	9.a. 9.b. 9.c.
ection 8 - Underwritten Transactions in Debt and Equity Markets  a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  plexity indicators  ection 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  ection 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of Level 1 assets	GSIB 1075 1076 1077 1076 1077 1080 GSIB 1081 1082 1083	Amount  529 31,585 32,114  Amount  1,001,819 5,080,920 6,082,739  Amount  17,078 113,129 77,871	9.a. 9.b. 9.c.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  plexity indicators  a. To derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  cetion 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFT) b. Available-for-sale securities that meet the definition of Level 2 assets, with haircuts	GSIB 1075 1076 1077 1076 1077 1080 GSIB 1081 1082 1083 1084	Amount  529 31,585 32,114  Amount  1,001,819 5,080,920 6,082,739  Amount  17,078 113,129 77,871 5,953	9.a. 9.b. 9.c.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  Applexity indicators  Section 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of Level 1 assets	GSIB 1075 1076 1077 1076 1077 1080 GSIB 1081 1082 1083	Amount  529 31,585 32,114  Amount  1,001,819 5,080,920 6,082,739  Amount  17,078 113,129 77,871	9.a. 9.b. 9.c.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  Applexity indicators  Bection 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Bection 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFT) c. Trading and AFS securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)	GSIB 1075 1076 1077 1076 1077 1080 1081 1082 1083 1084 1085	Amount  529 31,585 32,114  Amount  1,001,819 5,080,920 6,082,739  Amount  17,078 113,129 77,871 5,953 46,383	9.a. 9.b. 9.c.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  Applexity indicators  a. To derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts	GSIB 1075 1076 1077 1076 1077 1080 GSIB 1081 1082 1083 1084	Amount  529 31,585 32,114  Amount  1,001,819 5,080,920 6,082,739  Amount  17,078 113,129 77,871 5,953	9.a. 9.b. 9.c.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  plexity indicators  a. To derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  cetion 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)  ection 11 - Level 3 Assets	GSIB 1075 1076 1077 1076 1077 1080 GSIB 1081 1082 1083 1084 1085 GSIB GSIB	Amount  529 31,585 32,114  Amount  1,001,819 5,080,920 6,082,739  Amount  17,078 113,129 77,871 5,953 46,383	9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  plexity indicators  cetion 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  cetion 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFT) b. Available-for-sale securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)  cetion 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 measurement inputs)	GSIB 1075 1076 1077 1076 1077 1080 GSIB 1081 1082 1083 1084 1085 GSIB GSIB	Amount  529 31,585 32,114  Amount  1,001,819 5,080,920 6,082,739  Amount  17,078 113,129 77,871 5,953 46,383	9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  plexity indicators  cetion 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  cetion 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFT) b. Available-for-sale securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)  cetion 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 measurement inputs)  ss-Jurisdictional Activity Indicators	GSIB 1075 1076 1077 1076 1077 1080 1081 1082 1083 1084 1085 GSIB 1086 1086	Amount  529 31,585 32,114  Amount  1,001,819 5,080,920 6,082,739  Amount  17,078 113,129 77,871 5,963 46,383  Amount  2,959	9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  plexity indicators  cetion 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  cetion 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFT) b. Available-for-sale securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)  cetion 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 measurement inputs)  ss-Jurisdictional Activity Indicators	GSIB 1075 1076 1077 1076 1077 1080 GSIB 1081 1082 1083 1084 1085 GSIB GSIB	Amount  529 31,585 32,114  Amount  1,001,819 5,080,920 6,082,739  Amount  17,078 113,129 77,871 5,953 46,383	9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  Applexity indicators  Bettion 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Bettion 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFS) c. Trading and AFS securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)  Bettion 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 measurement inputs)  Bestion 12 - Cross-Jurisdictional Claims	GSIB 1075 1076 1077 1076 1077 1080 1081 1082 1083 1084 1085 1086 GSIB 1086 GSIB 1086	Amount  529 31,585 32,114  Amount  1,001,819 5,080,920 6,082,739  Amount  17,078 113,129 77,871 5,953 46,383  Amount  2,959	9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  Applexity indicators  Bettion 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Bettion 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFT) c. Trading and AFS securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)  Bettion 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 measurement inputs)  Bestion 12 - Cross-Jurisdictional Claims a. Cross-jurisdictional claims indicator (Total foreign claims on an ultimate risk basis)	GSIB 1075 1076 1077 1076 1077 1080 1081 1082 1083 1084 1085 1086 1087 GSIB 1087 GSIB 1087 GSIB 1087 GSIB 1088	Amount  529 31,585 32,114  Amount  1,001,819 5,080,920 6,082,739  Amount  17,078 113,129 77,871 5,953 46,383  Amount  2,959  Amount  473,061  Amount	9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d. 11.a.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  plexity indicators  petion 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  petion 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFT) b. Available-for-sale securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)  petion 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 measurement inputs)  petion 12 - Cross-Jurisdictional Claims a. Cross-jurisdictional claims indicator (Total foreign claims on an ultimate risk basis)  petion 13 - Cross-Jurisdictional Liabilities a. Foreign liabilities (excluding derivatives and local liabilities in local currency)	GSIB 1076 1077 1076 1077 1076 1077 1080 1081 1082 1083 1084 1085 1086 1087 1087 1087 1087 1088 1088 1088 1088	Amount  529 31,585 32,114  Amount  1,001,819 5,080,920 6,082,739  Amount  17,078 113,129 77,871 5,953 46,383  Amount  2,959  Amount  473,061  Amount  473,061	9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d. 10.e.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  plexity indicators  cetion 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  cetion 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFT) b. Available-for-sale securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)  cetion 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 measurement inputs)  cetion 12 - Cross-Jurisdictional Claims a. Cross-jurisdictional claims indicator (Total foreign claims on an ultimate risk basis)  cetion 13 - Cross-Jurisdictional Liabilities a. Foreign liabilities (excluding derivatives and local liabilities in local currency) (1) Any foreign liabilities to related offices included in item 13.a.	GSIB 1076 1077 1076 1077 1076 1077 1080 1081 1081 1082 1083 1084 1085 1086 1087 1087 1087 1087 1088 1088 1088 1088	Amount  529 31,585 32,114  Amount  1,001,819 5,080,920 6,082,739  Amount  17,078 113,129 77,871 5,953 46,383  Amount  2,959  Amount  473,061  Amount  473,061	8.a. 8.b. 8.c. 9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d. 10.e.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)  Applexity indicators  Bection 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Bection 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFT) b. Available-for-sale securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)  Bection 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 measurement inputs)  Bection 12 - Cross-Jurisdictional Claims a. Cross-jurisdictional claims indicator (Total foreign claims on an ultimate risk basis)  Bection 13 - Cross-Jurisdictional Liabilities a. Foreign liabilities (excluding derivatives and local liabilities in local currency)	GSIB 1076 1077 1076 1077 1076 1077 1080 1081 1082 1083 1084 1085 1086 1087 1087 1087 1087 1088 1088 1088 1088	Amount  529 31,585 32,114  Amount  1,001,819 5,080,920 6,082,739  Amount  17,078 113,129 77,871 5,953 46,383  Amount  2,959  Amount  473,061  Amount  473,061	9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d. 10.e.