Bank name: Erste Group

4.c.

4.d.(1)

4.d.(2)

4.e.

5.b.

5.c.

5.d.

5.f.

5.g.

5.h.

1,440,131 798,033

22,595,251

9,681,391

12,388,840 6,242,029

1,212,811

42,240,300

120,326 12,425,518 169,384

Amount

ection 1 - General Information	GSIB	Response
a. General information provided by the relevant supervisory authority:		
(1) Country code	1001	AT
(2) Bank name	1002	Erste Group
(3) Reporting date (yyyy-mm-dd)	1003	2015-12-31
(4) Reporting currency	1004	EUR
(5) Euro conversion rate	1005	1
b. General Information provided by the reporting institution:	4007	
(1) Reporting unit	1007	1,000
(2) Accounting standard	1008	IFRS
(3) Date of public disclosure (yyyy-mm-dd) (4) Language of public disclosure	1010	2016-04-25
(5) Web address of public disclosure	1010	English http://www.erstegroup.com/en/Investors/RegDisclosure/G-SII
Indicator		
ction 2 - Total Exposures	GSIB	Amount
a. Derivatives		,
(1) Counterparty exposure of derivatives contracts	1012	3,525,961
(2) Capped notional amount of credit derivatives	1201	630,216
(3) Potential future exposure of derivative contracts	1018	1,777,582
b. Securities financing transactions (SFTs)		
(1) Adjusted gross value of SFTs	1013	4,042,487
(2) Counterparty exposure of SFTs	1014	1,457,365
c. Other assets	1015	187,687,665
d. Gross notional amount of off-balance sheet items		
(1) Items subject to a 0% credit conversion factor (CCF)	1019	10,165,215
(2) Items subject to a 20% CCF	1022	6,048,194
(3) Items subject to a 50% CCF	1023	16,844,536
(4) Items subject to a 100% CCF	1024	3,182,802
e. Regulatory adjustments f. Total exposures indicator (Total exposures prior to regulatory adjustments) (sum of items 2.a.(1)	1031	
thorough 2.c, 0.1 times 2.d.(1), 0.2 times 2.d.(2), 0.5 times 2.d.(3), and 2.d.(4))	1103	212,952,507
connectedness Indicators	OOID	
ection 3 - Intra-Financial System Assets	GSIB	Amount 10 392 473
ction 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions	1033	Amount 10,392,173
ction 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit	1033 1034	10,392,173 0
ction 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions	1033	
ction 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions:	1033 1034 1035	10,392,173 0 2,083,253
ction 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions	1033 1034	10,392,173 0
ction 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities	1033 1034 1035 1036	10,392,173 0 2,083,253 1,487,100 2,362,663
tion 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities	1033 1034 1035 1036 1037	10,392,173 0 2,083,253 1,487,100
ction 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities	1033 1034 1035 1036 1037 1038	10,392,173 0 2,083,253 1,487,100 2,362,663 447,935
ction 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper	1033 1034 1035 1036 1037 1038 1039	10,392,173 0 2,083,253 1,487,100 2,362,663 447,935
a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)	1033 1034 1035 1036 1037 1038 1039 1040	10,392,173 0 2,083,253 1,487,100 2,362,663 447,935 0 805,519
etion 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions	1033 1034 1035 1036 1037 1038 1039 1040 1041	10,392,173 0 2,083,253 1,487,100 2,362,663 447,935 0 805,519 8,996
ction 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions	1033 1034 1035 1036 1037 1038 1039 1040 1041	10,392,173 0 2,083,253 1,487,100 2,362,663 447,935 0 805,519 8,996
ction 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value:	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042	10,392,173 0 2,083,253 1,487,100 2,362,663 447,935 0 805,519 8,996 176,954
a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042	10,392,173 0 2,083,253 1,487,100 2,362,663 447,935 0 805,519 8,996 176,954
a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2),	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044	10,392,173 0 2,083,253 1,487,100 2,362,663 447,935 0 805,519 8,996 176,954 992,199 2,651,341
a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044	10,392,173 0 2,083,253 1,487,100 2,362,663 447,935 0 805,519 8,996 176,954 992,199 2,651,341
a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financial institutions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045	10,392,173 0 2,083,253 1,487,100 2,362,663 447,935 0 805,519 8,996 176,954 992,199 2,651,341 21,390,141
a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB	10,392,173 0 2,083,253 1,487,100 2,362,663 447,935 0 805,519 8,996 176,954 992,199 2,651,341 21,390,141 Amount
ction 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financial institutions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure [1. Intra-Financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6)) ction 4 - Intra-Financial System Liabilities a. Funds deposited by or borrowed from other financial institutions:	1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045	10,392,173 0 2,083,253 1,487,100 2,362,663 447,935 0 805,519 8,996 176,954 992,199 2,651,341 21,390,141

European Banking Authority	official figures are on institutions' website	End-2015 G-SII disclosure exercise

1050

1051

1052

GSIB

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1055

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1060

c. Net negative current exposure of securities financing transactions with other financial institutions

d. Over-the-counter derivatives with other financial institutions that have a net negative fair value:

e. Intra-financial system liabilities indicator (sum of items 4.a.(1) through 4.d.(2))

a. Common equine.

g. Preferred shares and any other forms of subordinated funding not captured in item 5.c.

h. Securities outstanding indicator (sum of items 5.a through 5.g)

(1) Net negative fair value(2) Potential future exposure

Section 5 - Securities Outstanding

c. Subordinated debt securities

b. Senior unsecured debt securities

a. Secured debt securities

d. Commercial paper

f. Common equity

e. Certificates of deposit

Bank name: Erste Group

tion 4. Constal Information	COID	Danne	
a Ceneral Information	GSIB	Response	
a. General information provided by the relevant supervisory authority: (1) Country code	1001	AT	1.a.(1
(2) Bank name	1001	AT Erste Group	1.a.(1
(3) Reporting date (yyyy-mm-dd)	1002	2015-12-31	1.a.(3
(4) Reporting currency	1004	EUR	1.a.(4
(5) Euro conversion rate	1005	1	1.a.(5
b. General Information provided by the reporting institution:			
(1) Reporting unit	1007	1,000	1.b.(1
(2) Accounting standard	1008	IFRS	1.b.(2
(3) Date of public disclosure (yyyy-mm-dd)	1009	2016-04-25	1.b.(3
(4) Language of public disclosure	1010	English	1.b.(4
(5) Web address of public disclosure	1011	http://www.erstegroup.com/en/Investors/RegDisclosure/G-SII	1.b.(5
titutability/Financial Institution Infrastructure Indicators			
ction 6 - Payments made in the reporting year (excluding intragroup payments)	GSIB	Amount	
a. Australian dollars (AUD)	1061	43,721,404	6.a.
b. Brazilian real (BRL)	1062	209,314	6.b.
c. Canadian dollars (CAD)	1063	32,267,242	6.c.
d. Swiss francs (CHF)	1064	1,133,626,143	6.d.
e. Chinese yuan (CNY)	1065	18,657,661	6.e.
f. Euros (EUR)	1066	1,602,372,646	6.f.
g. British pounds (GBP)	1067	65,075,049	6.g.
h. Hong Kong dollars (HKD) i. Indian rupee (INR)	1068 1069	328,342,105 3,006,876	6.h. 6.i.
j. Japanese yen (JPY)	1009	3,183,354,399	6.j.
k. Swedish krona (SEK)	1070	51,369,366	6.k.
I. United States dollars (USD)	1072	4,372,159,846	6.I.
m. Payments activity indicator (sum of items 6.a through 6.l)	1073	10,834,162,051	6.m.
ction 8 - Underwritten Transactions in Debt and Equity Markets	GSIB	Amount	
a. Equity underwriting activity	1075	0	8.a.
a. Equity underwriting activity b. Debt underwriting activity	1075 1076	0 14,660	8.b.
ction 8 - Underwritten Transactions in Debt and Equity Markets a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b)	1075	0	
a. Equity underwriting activity b. Debt underwriting activity	1075 1076	0 14,660	8.b.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) Dlexity indicators Stion 9 - Notional Amount of Over-the-Counter (OTC) Derivatives	1075 1076 1077	0 14,660 14,660	8.b. 8.c.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) Dlexity indicators ction 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty	1075 1076 1077 1077	0 14,660 14,660 Amount 26,350,960	8.b. 8.c.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) Delexity indicators Settion 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally	1075 1076 1077 1077 GSIB 1078 1079	0 14,660 14,660 Amount 26,350,960 204,745,040	9.a. 9.b.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) Debuty indicators ction 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally	1075 1076 1077 1077	0 14,660 14,660 Amount 26,350,960	8.b. 8.c.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) Dlexity indicators Stion 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)	1075 1076 1077 1077 GSIB 1078 1079	0 14,660 14,660 Amount 26,350,960 204,745,040	9.a. 9.b.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) Delexity indicators Etion 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) Etion 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT)	GSIB 1080 GSIB 1081	Amount 26,350,960 204,745,040 231,096,000 Amount 3,731,671	9.a. 9.b.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) plexity indicators ction 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) ction 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS)	1075 1076 1077 1077 GSIB 1078 1079 1080	Amount	9.a. 9.b. 9.c.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) Dlexity indicators Stion 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) Stion 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of Level 1 assets	GSIB 1079 1080 GSIB 1081 1082 1083	Amount Amount 26,350,960 204,745,040 231,096,000 Amount 3,731,671 20,237,410 13,336,978	9.a. 9.b. 9.c.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) Decity indicators Stion 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) Stion 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts	GSIB 1079 1080 1081 1082 1083 1084	Amount Amount 26,350,960 204,745,040 231,096,000 Amount 3,731,671 20,237,410 13,336,978 13,336,978	9.a. 9.b. 9.c. 10.a. 10.b. 10.c.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) Decity indicators Stion 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) Stion 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts	GSIB 1079 1080 GSIB 1081 1082 1083	Amount Amount 26,350,960 204,745,040 231,096,000 Amount 3,731,671 20,237,410 13,336,978	9.a. 9.b. 9.c.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) Description indicators	GSIB 1079 1080 1081 1082 1083 1084	Amount Amount 26,350,960 204,745,040 231,096,000 Amount 3,731,671 20,237,410 13,336,978 13,336,978	9.a. 9.b. 9.c. 10.a. 10.b. 10.c.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) Dlexity indicators Stion 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) Stion 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFT) c. Trading and AFS securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)	GSIB 1079 1080 GSIB 1081 1082 1083 1084 1085	Amount Amount 26,350,960 204,745,040 231,096,000 Amount 3,731,671 20,237,410 13,336,978 3,810,354 6,821,749	9.a. 9.b. 9.c. 10.a. 10.b. 10.c.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) plexity indicators stion 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) stion 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d) ettion 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 measurement inputs)	GSIB 1079 1080 GSIB 1081 1082 1083 1084 1085 GSIB	Amount Amount 26,350,960 204,745,040 231,096,000 Amount 3,731,671 20,237,410 13,336,978 3,810,354 6,821,749 Amount	9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) slexity indicators ction 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) ction 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d) ction 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 measurement inputs)	GSIB 1079 1080 1081 1082 1084 1085 GSIB 1086	Amount Amount 26,350,960 204,745,040 231,096,000 Amount 3,731,671 20,237,410 13,336,978 3,810,354 6,821,749 Amount Amount 883,999	9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) Dlexity indicators Stion 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) Stion 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)	GSIB 1079 1080 GSIB 1081 1082 1083 1084 1085 GSIB	Amount Amount 26,350,960 204,745,040 231,096,000 Amount 3,731,671 20,237,410 13,336,978 3,810,354 6,821,749 Amount	9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) clion 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) ction 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d) ction 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 measurement inputs) s-Jurisdictional Activity Indicators ction 12 - Cross-Jurisdictional Claims a. Cross-jurisdictional claims indicator (Total foreign claims on an ultimate risk basis)	GSIB 1081 1084 1085 GSIB 1086 GSIB 1087	Amount Amount 26,350,960 204,745,040 231,096,000 Amount 3,731,671 20,237,410 13,336,978 3,810,354 6,821,749 Amount Amount 102,552,269	9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d. 11.a.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) plexity indicators ction 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) ction 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d) ction 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 measurement inputs) s-Jurisdictional Activity Indicators ction 12 - Cross-Jurisdictional Claims a. Cross-jurisdictional claims indicator (Total foreign claims on an ultimate risk basis)	GSIB 1079 1080 1081 1082 1083 1084 1085 1086 GSIB 1086 GSIB 1087	Amount Amount 26,350,960 204,745,040 231,096,000 Amount 3,731,671 20,237,410 13,336,978 3,810,354 6,821,749 Amount 883,999 Amount	9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d. 11.a.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) client 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) ction 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d) ction 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 measurement inputs) s-Jurisdictional Activity Indicators ction 12 - Cross-Jurisdictional Claims a. Cross-jurisdictional claims indicator (Total foreign claims on an ultimate risk basis)	GSIB 1081 1085 GSIB 1086 GSIB 1086 GSIB 1086 GSIB 1087 GSIB 1088 GSIB 1088 GSIB 1088	Amount Amount 26,350,960 204,745,040 231,096,000 Amount 3,731,671 20,237,410 13,336,978 3,810,354 6,821,749 Amount 883,999 Amount 46,368,206	9.a. 9.b. 9.c. 10.a. 10.b. 11.a.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) clearly indicators cition 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) cition 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFT) c. Trading and AFS securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d) cition 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 measurement inputs) cition 12 - Cross-Jurisdictional Claims a. Cross-Jurisdictional claims indicator (Total foreign claims on an ultimate risk basis) cition 13 - Cross-Jurisdictional Liabilities a. Foreign liabilities (excluding derivatives and local liabilities in local currency) (1) Any foreign liabilities to related offices included in item 13.a.	GSIB 1084 1085 GSIB 1086 GSIB 1087	Amount Amount 26,350,960 204,745,040 231,096,000 Amount 3,731,671 20,237,410 13,336,978 3,810,354 6,821,749 Amount 883,999 Amount 102,552,269 Amount 46,368,206 6,704,911	9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 11.a.
a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.b) client 9 - Notional Amount of Over-the-Counter (OTC) Derivatives a. OTC derivatives cleared through a central counterparty b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) ction 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of Level 1 assets d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d) ction 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 measurement inputs) s-Jurisdictional Activity Indicators ction 12 - Cross-Jurisdictional Claims a. Cross-jurisdictional claims indicator (Total foreign claims on an ultimate risk basis)	GSIB 1081 1085 GSIB 1086 GSIB 1086 GSIB 1086 GSIB 1087 GSIB 1088 GSIB 1088 GSIB 1088	Amount Amount 26,350,960 204,745,040 231,096,000 Amount 3,731,671 20,237,410 13,336,978 3,810,354 6,821,749 Amount 883,999 Amount 46,368,206	9.a. 9.b. 9.c. 10.a. 10.b. 11.a.