Bank name: Erste Group

General Bank Data

Section 1 - General Information	GSIB	Response	
a. General information provided by the relevant supervisory authority:			
(1) Country code	1001	AT	1.a.(1)
(2) Bank name	1002	Erste Group Bank AG	1.a.(2)
(3) Reporting date (yyyy-mm-dd)	1003	2014-12-31	1.a.(3)
(4) Reporting currency	1004	EUR	1.a.(4)
(5) Euro conversion rate	1005	1	1.a.(5)
b. General Information provided by the reporting institution:			
(1) Reporting unit	1007	1,000,000	1.b.(1)
(2) Accounting standard	1008	IFRS	1.b.(2)
(3) Date of public disclosure (yyyy-mm-dd)	1009		1.b.(3)
(4) Language of public disclosure	1010	English	1.b.(4)
(5) Web address of public disclosure	1011	-	1.b.(5)

Size Indicator

Section 2 - Total Exposures	GSIB	Amount	
a. Counterparty exposure of derivatives contracts	1012	4,765	2.a.
b. Gross value of securities financing transactions (SFTs)	1013	3,529	2.b.
c. Counterparty exposure of SFTs	1014	2,197	2.c.
d. Other assets	1015	181,611	2.d.
(1) Securities received in SFTs that are recognised as assets	1016	0	2.d.(1)
e. Total on-balance-sheet items (sum of items 2.a, 2.b, 2.c, and 2.d, minus 2.d.(1))	1017	192,101	2.e.
f. Potential future exposure of derivative contracts	1018	1,907	2.f.
g. Notional amount of off-balance-sheet items with a 0% credit conversion factor	1019	9,081	2.g.
(1) Unconditionally cancellable credit card commitments	1020	0	2.g.(1)
(2) Other unconditionally cancellable commitments	1021	9,054	2.g.(2)
h. Notional amount of off-balance-sheet items with a 20% credit conversion factor	1022	4,608	2.h.
i. Notional amount of off-balance-sheet items with a 50% credit conversion factor	1023	15,636	2.i.
j. Notional amount of off-balance-sheet items with a 100% credit conversion factor	1024	3,561	2.j.
k. Total off-balance-sheet items (sum of items 2.f, 2.g, and 2.h through 2.j, minus 0.9 times the sum of items 2.g.(1) and 2.g.(2))	1025	26,644	2.k.
l. Entities consolidated for accounting purposes but not for risk-based regulatory purposes:			
(1) On-balance-sheet assets	1026	2,734	2.l.(1)
(2) Potential future exposure of derivatives contracts	1027	0	2.l.(2)
(3) Unconditionally cancellable commitments	1028	0	2.l.(3)
(4) Other off-balance-sheet commitments	1029	1	2.l.(4)
(5) Investment value in the consolidated entities	1030	312	2.l.(5)
m. Regulatory adjustments	1031	2,160	2.m.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)	1032	219,008	2.n.

Interconnectedness Indicators

Section 3 - Intra-Financial System Assets	GSIB	Amount	
a. Funds deposited with or lent to other financial institutions	1033	10,845	3.a.
(1) Certificates of deposit	1034	0	3.a.(1)
b. Unused portion of committed lines extended to other financial institutions	1035	2,390	3.b.
c. Holdings of securities issued by other financial institutions:			
(1) Secured debt securities	1036	1,844	3.c.(1)
(2) Senior unsecured debt securities	1037	2,946	3.c.(2)
(3) Subordinated debt securities	1038	434	3.c.(3)
(4) Commercial paper	1039	0	3.c.(4)
(5) Equity securities	1040	99	3.c.(5)
(6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)	1041	23	3.c.(6)
d. Net positive current exposure of securities financing transactions with other financial institutions	1042	83	3.d.
e. Over-the-counter derivatives with other financial institutions that have a net positive fair value:			
(1) Net positive fair value	1043	337	3.e.(1)
(2) Potential future exposure	1044	1,384	3.e.(2)
f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))	1045	20,339	3.f.

Section 4 - Intra-Financial System Liabilities	GSIB	Amount	
a. Deposits due to depository institutions	1046	12,131	4.a.
b. Deposits due to non-depository financial institutions	1047	8,419	4.b.
c. Unused portion of committed lines obtained from other financial institutions	1048	436	4.c.
d. Net negative current exposure of securities financing transactions with other financial institutions	1049	593	4.d.
e. Over-the-counter derivatives with other financial institutions that have a net negative fair value:			
(1) Net negative fair value	1050	458	4.e.(1)
(2) Potential future exposure	1051	1,303	4.e.(2)
f. Intra-financial system liabilities indicator (sum of items 4.a through 4.e.(2))	1052	23,340	4.f.

Section 5 - Securities Outstanding	GSIB	Amount	
a. Secured debt securities	1053	10,063	5.a.
b. Senior unsecured debt securities	1054	14,178	5.b.
c. Subordinated debt securities	1055	5,760	5.c.
d. Commercial paper	1056	668	5.d.
e. Certificates of deposit	1057	281	5.e.
f. Common equity	1058	8,268	5.f.
g. Preferred shares and any other forms of subordinated funding not captured in item 5.c.	1059	228	5.g.
h. Securities outstanding indicator (sum of items 5.a through 5.g)	1060	39,448	5.h.

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(5) Web address of public disclosure	1011	-	1.b.(5)

Substitutability/Financial Institution Infrastructure Indicators

Section 6 - Payments made in the reporting year (excluding intragroup payments)	Reported in	Amount in specified currency	GSIB	Amount	
a. Australian dollars	AUD	AUD 38,407	1061	26,093	6.a.
b. Brazilian real	BRL	BRL 286	1062	92	6.b.
c. Canadian dollars	CAD	CAD 22,090	1063	15,058	6.c.
d. Swiss francs	CHF	CHF 560,681	1064	461,580	6.d.
e. Chinese yuan	CNY	CNY 56	1065	7	6.e.
f. Euros	EUR	EUR 1,535,780	1066	1,535,780	6.f.
g. British pounds	GBP	GBP 124,136	1067	153,958	6.g.
h. Hong Kong dollars	HKD	HKD 119,019	1068	11,545	6.h.
i. Indian rupee	INR	INR 8,013	1069	99	6.i.
j. Japanese yen	JPY	JPY 3,458,623	1070	24,663	6.j.
k. Swedish krona	SEK	SEK 470,902	1071	51,766	6.k.
l. United States dollars	USD	USD 6,186,954	1072	4,653,945	6.l.
m. Payments activity indicator (sum of items 6.a through 6.l)			1073	6,934,584	6.m.

Section 7 - Assets Under Custody	GSIB	Amount	
a. Assets under custody indicator	1074	190,871	7.a.

Section 8 - Underwritten Transactions in Debt and Equity Markets	GSIB	Amount	
a. Equity underwriting activity	1075	0	8.a.
b. Debt underwriting activity	1076	0	8.b.
c. Underwriting activity indicator (sum of items 8.a and 8.b)	1077	0	8.c.

Complexity indicators

Section 9 - Notional Amount of Over-the-Counter (OTC) Derivatives	GSIB	Amount	
a. OTC derivatives cleared through a central counterparty	1078	16,422	9.a.
b. OTC derivatives settled bilaterally	1079	211,067	9.b.
c. OTC derivatives indicator (sum of items 9.a and 9.b)	1080	227,489	9.c.

Section 10 - Trading and Available-for-Sale Securities	GSIB	Amount	
a. Held-for-trading securities (HFT)	1081	3,642	10.a.
b. Available-for-sale securities (AFS)	1082	22,147	10.b.
c. Trading and AFS securities that meet the definition of Level 1 assets	1083	13,998	10.c.
d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts	1084	2,043	10.d.
e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)	1085	9,748	10.e.

Section 11 - Level 3 Assets	GSIB	Amount	
a. Level 3 assets indicator (Assets valued using Level 3 measurement inputs)	1086	602	11.a.

Cross-Jurisdictional Activity Indicators

Section 12 - Cross-Jurisdictional Claims	GSIB	Amount	
a. Cross-jurisdictional claims indicator (Total foreign claims on an ultimate risk basis)	1087	100,947	12.a.

Section 13 - Cross-Jurisdictional Liabilities	GSIB	Amount	
a. Foreign liabilities (excluding derivatives and local liabilities in local currency)	1088	50,215	13.a.
(1) Any foreign liabilities to related offices included in item 13.a.	1089	6,110	13.a.(1)
b. Local liabilities in local currency (excluding derivatives activity)	1090	49,599	13.b.
c. Cross-jurisdictional liabilities indicator (sum of items 13.a and 13.b, minus 13.a.(1))	1091	93,704	13.c.

Ancillary Data

Note: Any inconsistencies between the SII-parameters provided on the websites of EBA and Erste Group may result from the application of different templates. Erste Group will adjust individual disclosure for 2014 once the final ITS is published in the official journal of the EU.