Bank name: La Caixa

General Bank Data

Section 1 - General Information	GSIB	Response	
a. General information provided by the relevant supervisory authority:			
(1) Country code	1001	ES	1.a.(1)
(2) Bank name	1002	Caixa	1.a.(2)
(3) Reporting date (yyyy-mm-dd)	1003	2014-12-31	1.a.(3)
(4) Reporting currency	1004	EUR	1.a.(4)
(5) Euro conversion rate	1005	1	1.a.(5)
b. General Information provided by the reporting institution:			
(1) Reporting unit	1007	1,000	1.b.(1)
(2) Accounting standard	1008	IFRS	1.b.(2)
(3) Date of public disclosure (yyyy-mm-dd)	1009	2015-04-30	1.b.(3)
(4) Language of public disclosure	1010	Spanish	1.b.(4)
(5) Web address of public disclosure	1011	http://www.criteria.com/informacionparainversores/indicadoresderelev	1.b.(5)

Size Indicator

Section 2 - Total Exposures	GSIB	Amount	
a. Counterparty exposure of derivatives contracts	1012	6,945,316	2.a.
b. Gross value of securities financing transactions (SFTs)	1013	13,591,662	2.b.
c. Counterparty exposure of SFTs	1014	577,937	2.c.
d. Other assets	1015	288,147,794	2.d.
(1) Securities received in SFTs that are recognised as assets	1016	0	2.d.(1)
e. Total on-balance-sheet items (sum of items 2.a, 2.b, 2.c, and 2.d, minus 2.d.(1))	1017	309,262,711	2.e.
f. Potential future exposure of derivative contracts	1018	2,483,292	2.f.
g. Notional amount of off-balance-sheet items with a 0% credit conversion factor	1019	37,024,244	2.g.
(1) Unconditionally cancellable credit card commitments	1020	0	2.g.(1)
(2) Other unconditionally cancellable commitments	1021	1,928,505	2.g.(2)
h. Notional amount of off-balance-sheet items with a 20% credit conversion factor	1022	7,717,897	2.h.
i. Notional amount of off-balance-sheet items with a 50% credit conversion factor	1023	29,879,471	2.i.
j. Notional amount of off-balance-sheet items with a 100% credit conversion factor	1024	1,447,793	2.j.
k. Total off-balance-sheet items (sum of items 2.f, 2.g, and 2.h through 2.j, minus 0.9 times the sum of items 2.g.(1) and 2.g.(2))	1025	76,817,042	2.k.
l. Entities consolidated for accounting purposes but not for risk-based regulatory purposes:			
(1) On-balance-sheet assets	1026	3,637,705	2.l.(1)
(2) Potential future exposure of derivatives contracts	1027	0	2.l.(2)
(3) Unconditionally cancellable commitments	1028	0	2.l.(3)
(4) Other off-balance-sheet commitments	1029	57,032	2.l.(4)
(5) Investment value in the consolidated entities	1030	947,424	2.l.(5)
m. Regulatory adjustments	1031	12,154,259	2.m.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)	1032	376,672,805	2.n.

Interconnectedness Indicators

Section 3 - Intra-Financial System Assets	GSIB	Amount	
a. Funds deposited with or lent to other financial institutions	1033	446,616	3.a.
(1) Certificates of deposit	1034	0	3.a.(1)
b. Unused portion of committed lines extended to other financial institutions	1035	35,529	3.b.
c. Holdings of securities issued by other financial institutions:			
(1) Secured debt securities	1036	50,965	3.c.(1)
(2) Senior unsecured debt securities	1037	2,039,698	3.c.(2)
(3) Subordinated debt securities	1038	31,819	3.c.(3)
(4) Commercial paper	1039	0	3.c.(4)
(5) Equity securities	1040	8,046,346	3.c.(5)
(6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)	1041	0	3.c.(6)
d. Net positive current exposure of securities financing transactions with other financial institutions	1042	257,348	3.d.
e. Over-the-counter derivatives with other financial institutions that have a net positive fair value:			
(1) Net positive fair value	1043	154,515	3.e.(1)
(2) Potential future exposure	1044	1,667,358	3.e.(2)
f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))	1045	12,730,193	3.f.

Section 4 - Intra-Financial System Liabilities	GSIB	Amount	
a. Deposits due to depository institutions	1046	962,362	4.a.
b. Deposits due to non-depository financial institutions	1047	16,171,151	4.b.
c. Unused portion of committed lines obtained from other financial institutions	1048	7,233,721	4.c.
d. Net negative current exposure of securities financing transactions with other financial institutions	1049	825,538	4.d.
e. Over-the-counter derivatives with other financial institutions that have a net negative fair value:			
(1) Net negative fair value	1050	114,496	4.e.(1)
(2) Potential future exposure	1051	370,858	4.e.(2)
f. Intra-financial system liabilities indicator (sum of items 4.a through 4.e.(2))	1052	25,678,127	4.f.

Section 5 - Securities Outstanding	GSIB	Amount	
a. Secured debt securities	1053	33,835,605	5.a.
b. Senior unsecured debt securities	1054	9,248,640	5.b.
c. Subordinated debt securities	1055	8,972,796	5.c.
d. Commercial paper	1056	226,928	5.d.
e. Certificates of deposit	1057	0	5.e.
f. Common equity	1058	24,922,923	5.f.
g. Preferred shares and any other forms of subordinated funding not captured in item 5.c.	1059	0	5.g.
h. Securities outstanding indicator (sum of items 5.a through 5.g)	1060	77,206,892	5.h.

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Substitutability/Financial Institution Infrastructure Indicators

Section 6 - Payments made in the reporting year (excluding intragroup payments)	Reported in	Amount in specified currency	GSIB	Amount	
a. Australian dollars	AUD	AUD 3,987,846	1061	2,711,332	6.a.
b. Brazilian real	BRL	BRL 0	1062	0	6.b.
c. Canadian dollars	CAD	CAD 9,323,205	1063	6,364,318	6.c.
d. Swiss francs	CHF	CHF 13,142,376	1064	10,820,660	6.d.
e. Chinese yuan	CNY	CNY 302,016	1065	36,963	6.e.
f. Euros	EUR	EUR 1,820,746,121	1066	1,820,746,121	6.f.
g. British pounds	GBP	GBP 49,568,273	1067	61,517,218	6.g.
h. Hong Kong dollars	HKD	HKD 3,144,883	1068	305,748	6.h.
i. Indian rupee	INR	INR 380,482	1069	4,701	6.i.
j. Japanese yen	JPY	JPY 2,520,420,995	1070	17,972,903	6.j.
k. Swedish krona	SEK	SEK 15,024,955	1071	1,651,986	6.k.
l. United States dollars	USD	USD 1,016,979,242	1072	766,741,889	6.l.
m. Payments activity indicator (sum of items 6.a through 6.l)			1073	2,688,873,837	6.m.

Section 7 - Assets Under Custody	GSIB	Amount	
a. Assets under custody indicator	1074	97,896,361	7.a.

Section 8 - Underwritten Transactions in Debt and Equity Markets	GSIB	Amount	
a. Equity underwriting activity	1075	31,160	8.a.
b. Debt underwriting activity	1076	72,000	8.b.
c. Underwriting activity indicator (sum of items 8.a and 8.b)	1077	103,160	8.c.

Complexity indicators

Section 9 - Notional Amount of Over-the-Counter (OTC) Derivatives	GSIB	Amount	
a. OTC derivatives cleared through a central counterparty	1078	168,893,684	9.a.
b. OTC derivatives settled bilaterally	1079	285,763,843	9.b.
c. OTC derivatives indicator (sum of items 9.a and 9.b)	1080	454,657,527	9.c.

Section 10 - Trading and Available-for-Sale Securities	GSIB	Amount	
a. Held-for-trading securities (HFT)	1081	2,082,389	10.a.
b. Available-for-sale securities (AFS)	1082	28,753,669	10.b.
c. Trading and AFS securities that meet the definition of Level 1 assets	1083	24,920,933	10.c.
d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts	1084	2,134,543	10.d.
e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)	1085	3,780,582	10.e.

Section 11 - Level 3 Assets	GSIB	Amount	
a. Level 3 assets indicator (Assets valued using Level 3 measurement inputs)	1086	965,353	11.a.

Cross-Jurisdictional Activity Indicators

Section 12 - Cross-Jurisdictional Claims	GSIB	Amount	
a. Cross-jurisdictional claims indicator (Total foreign claims on an ultimate risk basis)	1087	13,262,781	12.a.

Section 13 - Cross-Jurisdictional Liabilities	GSIB	Amount	
a. Foreign liabilities (excluding derivatives and local liabilities in local currency)	1088	17,225,703	13.a.
(1) Any foreign liabilities to related offices included in item 13.a.	1089	93,445	13.a.(1)
b. Local liabilities in local currency (excluding derivatives activity)	1090	74,018	13.b.
c. Cross-jurisdictional liabilities indicator (sum of items 13.a and 13.b, minus 13.a.(1))	1091	17,206,276	13.c.

Ancillary Data