

General Bank Data			
Section 1: General Information		Response	
a. General information provided by the national supervisor:			
(1) Country code	ES		1.a.(1)
(2) Bank name	La Caixa		1.a.(2)
b. General information provided by the reporting institution:			
(1) Reporting date (yyyy-mm-dd)	31/12/2013		1.b.(1)
(2) Reporting currency	EUR		1.b.(2)
(3) Euro conversion rate	1.0000		1.b.(3)
(4) Reporting unit	1 000		1.b.(4)
(5) Accounting standard	IFRS		1.b.(5)
(6) Location of public disclosure	Not specified		1.b.(6)
Size Indicator			
Section 2: Total Exposures		Amount	
a. Counterparty exposure of derivatives contracts (method 1)	5 242 771		2.a.
b. Gross value of securities financing transactions (SFTs)	15 897 747		2.b.
c. Counterparty exposure of SFTs	514 300		2.c.
d. Other assets	306 213 070		2.d.
(1) Securities received in SFTs that are recognised as assets	1 454 976		2.d.(1)
e. Total on-balance sheet items (sum of items 2.a, 2.b, 2.c, and 2.d, minus 2.d.(1))	326 412 911		2.e.
f. Potential future exposure of derivative contracts (method 1)	1 990 557		2.f.
g. Notional amount of off-balance sheet items with a 0% CCF	25 725 854		2.g.
(1) Unconditionally cancellable credit card commitments	0		2.g.(1)
(2) Other unconditionally cancellable commitments	5 458 201		2.g.(2)
h. Notional amount of off-balance sheet items with a 20% CCF	7 394 643		2.h.
i. Notional amount of off-balance sheet items with a 50% CCF	28 015 039		2.i.
j. Notional amount of off-balance sheet items with a 100% CCF	2 348 546		2.j.
k. Total off-balance sheet items (sum of items 2.f, 2.g, and 2.h through 2.j, minus 0.9 times the sum of items 2.g.(1) and 2.g.(2))	60 562 258		2.k.
l. Entities consolidated for accounting purposes but not for risk-based regulatory purposes:			
(1) On-balance sheet assets	3 371 693		2.l.(1)
(2) Potential future exposure of derivatives contracts	0		2.l.(2)
(3) Unconditionally cancellable commitments	0		2.l.(3)
(4) Other off-balance sheet commitments	49 164		2.l.(4)
(5) Investment value in the consolidated entities	606 722		2.l.(5)
m. Regulatory adjustments	13 553 030		2.m.
o. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)	376 236 275		2.o.
Interconnectedness Indicators			
Section 3: Intra-Financial System Assets		Amount	
a. Funds deposited with or lent to other financial institutions	211 096		3.a.
(1) Certificates of deposit	0		3.a.(1)
b. Undrawn committed lines extended to other financial institutions	14 392		3.b.
c. Holdings of securities issued by other financial institutions:			
(1) Secured debt securities	340 942		3.c.(1)
(2) Senior unsecured debt securities	6 950 743		3.c.(2)
(3) Subordinated debt securities	24 762		3.c.(3)
(4) Commercial paper	0		3.c.(4)
(5) Stock (including par and surplus of common and preferred shares)	9 482 969		3.c.(5)
(6) Offsetting short positions in relation to the specific stock holdings included in item 3.c.(5)	0		3.c.(6)
d. Net positive current exposure of securities financing transactions with other financial institutions	514 300		3.d.
e. Over-the-counter (OTC) derivatives with other financial institutions that have a net positive fair value:			
(1) Net positive fair value (include collateral held if it is within the master netting agreement)	309 475		3.e.(1)
(2) Potential future exposure	702 288		3.e.(2)
f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))	18 550 967		3.f.
Section 4: Intra-Financial System Liabilities		Amount	
a. Deposits due to depository institutions	1 282 862		4.a.
b. Deposits due to non-depository financial institutions	17 257 190		4.b.
c. Undrawn committed lines obtained from other financial institutions	1 859 929		4.c.
d. Net negative current exposure of securities financing transactions with other financial institutions	267 154		4.d.
e. OTC derivatives with other financial institutions that have a net negative fair value:			
(1) Net negative fair value (include collateral provided if it is within the master netting agreement)	169 922		4.e.(1)
(2) Potential future exposure	172 723		4.e.(2)
g. Intra-financial system liabilities indicator (sum of items 4.a through 4.e.(2))	21 009 781		4.g.
Section 5: Securities Outstanding		Amount	
a. Secured debt securities	41 810 369		5.a.
b. Senior unsecured debt securities	9 554 320		5.b.
c. Subordinated debt securities	11 751 517		5.c.
d. Commercial paper	468 596		5.d.
e. Certificates of deposit	0		5.e.
f. Common equity	18 774 405		5.f.
g. Preferred shares and any other forms of subordinated funding not captured in item 5.c.	0		5.g.
i. Securities outstanding indicator (sum of items 5.a through 5.g)	82 359 206		5.i.
Substitutability/Financial Institution Infrastructure Indicators			
Section 6: Payments made in the reporting year (excluding intragroup payments)		Reported in	Amount in specified currency
a. Australian dollars	AUD	AUD 6,963,608	5 077 487
b. Brazilian real	BRL	BRL 0	0
c. Canadian dollars	CAD	CAD 9,201,364	6 730 914
d. Swiss francs	CHF	CHF 25,520,036	20 731 143
e. Chinese yuan	CNY	CNY 53,196	6 517
f. Euros	EUR	EUR 1,748,085,506	1 748 085 506
g. British pounds	GBP	GBP 33,798,738	39 806 175
h. Hong Kong dollars	HKD	HKD 664,237	64 503
i. Indian rupee	INR	INR 177,930	2 297
j. Japanese yen	JPY	JPY 3,704,491,180	28 632 946
k. Swedish krona	SEK	SEK 10,248,726	1 185 085
l. United States dollars	USD	USD 697,932,531	525 706 703
n. Payments activity indicator (sum of items 6.a through 6.l)			2 376 029 277
Section 7: Assets Under Custody		Amount	
a. Assets under custody indicator			107 207 714
Section 8: Underwritten Transactions in Debt and Equity Markets		Amount	
a. Equity underwriting activity			0
b. Debt underwriting activity			140 000
c. Underwriting activity indicator (sum of items 8.a and 8.b)			140 000

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(6) Location of public disclosure	Not specified	1.b.(6)
Complexity Indicators		
Section 9: Notional Amount of Over-the-Counter (OTC) Derivatives		
a. OTC derivatives cleared through a central counterparty	Amount	9.a.
b. OTC derivatives settled bilaterally	90 918 772	9.b.
c. OTC derivatives indicator (sum of items 9.a and 9.b)	337 214 308	9.c.
	428 133 080	
Section 10: Trading and Available-for-Sale Securities		
a. Held-for-trading securities (HFT)	Amount	10.a.
b. Available-for-sale securities (AFS)	3 689 166	10.b.
c. Trading and AFS securities that meet the definition of Level 1 assets	24 287 586	10.c.
d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts	20 702 528	10.d.
f. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)	2 619 484	10.f.
	4 654 740	
Section 11: Level 3 Assets		
a. Level 3 assets indicator	Amount	11.a.
	1 697 706	
Cross-Jurisdictional Activity Indicators		
Section 12: Cross-Jurisdictional Claims		
a. Foreign claims on an ultimate risk basis (excluding derivatives activity)	Amount	12.a.
c. Cross-jurisdictional claims indicator (item 12.a)	17 367 193	12.c.
	17 367 193	
Section 13: Cross-Jurisdictional Liabilities		
a. Foreign liabilities (excluding derivatives and local liabilities in local currency)	Amount	13.a.
(1) Any foreign liabilities to related offices included in item 13.a.	0	13.a.(1)
b. Local liabilities in local currency (excluding derivatives activity)	43 866	13.b.
d. Cross-jurisdictional liabilities indicator (sum of items 13.a and 13.b, minus 13.a.(1))	43 866	13.d.
Additional Indicators		