Bank Name: **Standard Chartered**

General Bank Data

Section 1: General Information	Response	
a. General information provided by the national supervisor:		
(1) Country code	UK	1.a.(1)
(2) Bank name	Standard Chartered	1.a.(2)
b. General Information provided by the reporting institution:		
(1) Reporting date (yyyy-mm-dd)	31/12/2013	1.b.(1)
(2) Reporting currency	USD	1.b.(2)
(3) Euro conversion rate	0.7251	1.b.(3)
(4) Reporting unit	1 000 000	1.b.(4)
(5) Accounting standard	IFRS	1.b.(5)
(6) Location of public disclosure	http://investors.sc.com/en/showresults.cfm?CategoryID=360	1.b.(6)

Size Indicator

Section 2: Total Exposures	Amount	
a. Counterparty exposure of derivatives contracts (method 1)	26 143	2.a.
b. Gross value of securities financing transactions (SFTs)	19 884	2.b.
c. Counterparty exposure of SFTs	14 356	2.c.
d. Other assets	605 656	2.d.
(1) Securities received in SFTs that are recognised as assets	0	2.d.(1)
e. Total on-balance sheet items (sum of items 2.a, 2.b, 2.c, and 2.d, minus 2.d.(1))	666 038	2.e.
f. Potential future exposure of derivative contracts (method 1)	17 954	2.f.
g. Notional amount of off-balance sheet items with a 0% CCF	123 481	2.g.
(1) Unconditionally cancellable credit card commitments	20 525	2.g.(1)
(2) Other unconditionally cancellable commitments	102 955	2.g.(2)
h. Notional amount of off-balance sheet items with a 20% CCF	25 856	2.h.
i. Notional amount of off-balance sheet items with a 50% CCF	76 345	2.i.
j. Notional amount of off-balance sheet items with a 100% CCF	14 751	2.j.
k. Total off-balance sheet items (sum of items 2.f, 2.g, and 2.h through 2.j, minus 0.9 times the sum of items 2.g.(1) and 2.g.(2))	147 254	2.k.
l. Entities consolidated for accounting purposes but not for risk-based regulatory purposes:		
(1) On-balance sheet assets	0	2.l.(1)
(2) Potential future exposure of derivatives contracts	0	2.l.(2)
(3) Unconditionally cancellable commitments	0	2.l.(3)
(4) Other off-balance sheet commitments	0	2.l.(4)
(5) Investment value in the consolidated entities	0	2.l.(5)
m. Regulatory adjustments	8 225	2.m.
o. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)	805 067	2.o.

Interconnectedness Indicators

Section 3: Intra-Financial System Assets	Amount	
a. Funds deposited with or lent to other financial institutions	114 876	3.a.
(1) Certificates of deposit	6 768	3.a.(1)
b. Undrawn committed lines extended to other financial institutions	6 487	3.b.
c. Holdings of securities issued by other financial institutions:		
(1) Secured debt securities	7 889	3.c.(1)
(2) Senior unsecured debt securities	17 358	3.c.(2)
(3) Subordinated debt securities	156	3.c.(3)
(4) Commercial paper	0	3.c.(4)
(5) Stock (including par and surplus of common and preferred shares)	1 587	3.c.(5)
(6) Offsetting short positions in relation to the specific stock holdings included in item 3.c.(5)	0	3.c.(6)
d. Net positive current exposure of securities financing transactions with other financial institutions	205	3.d.
e. Over-the-counter (OTC) derivatives with other financial institutions that have a net positive fair value:		
(1) Net positive fair value (include collateral held if it is within the master netting agreement)	11 551	3.e.(1)
(2) Potential future exposure	31 217	3.e.(2)
f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))	191 326	3.f.

Section 4: Intra-Financial System Liabilities	Amount	
a. Deposits due to depository institutions	44 526	4.a.
b. Deposits due to non-depository financial institutions	37 808	4.b.
c. Undrawn committed lines obtained from other financial institutions	0	4.c.
d. Net negative current exposure of securities financing transactions with other financial institutions	14	4.d.
e. OTC derivatives with other financial institutions that have a net negative fair value:		
(1) Net negative fair value (include collateral provided if it is within the master netting agreement)	12 818	4.e.(1)
(2) Potential future exposure	25 219	4.e.(2)
g. Intra-financial system liabilities indicator (sum of items 4.a through 4.e.(2))	120 384	4.g.

Section 5: Securities Outstanding	Amount	
a. Secured debt securities	0	5.a.
b. Senior unsecured debt securities	25 179	5.b.
c. Subordinated debt securities	20 397	5.c.
d. Commercial paper	24 993	5.d.
e. Certificates of deposit	21 240	5.e.
f. Common equity	33 007	5.f.
g. Preferred shares and any other forms of subordinated funding not captured in item 5.c.	0	5.g.
i. Securities outstanding indicator (sum of items 5.a through 5.g)	124 816	5.i.

Substitutability/Financial Institution Infrastructure Indicators

Section 6: Payments made in the reporting year (excluding intragroup payments)	Reported in	Amount in specified currency	Amount	
a. Australian dollars	AUD	AUD 644,625	623 740	6.a.
b. Brazilian real	BRL	BRL 0	0	6.b.
c. Canadian dollars	CAD	CAD 452,379	439 285	6.c.
d. Swiss francs	CHF	CHF 147,994	159 670	6.d.
e. Chinese yuan	CNY	CNY 16,784,165	2 730 203	6.e.
f. Euros	EUR	EUR 1,610,914	2 139 455	6.f.
g. British pounds	GBP	GBP 626,670	980 302	6.g.
h. Hong Kong dollars	HKD	HKD 18,491,463	2 383 978	6.h.
i. Indian rupee	INR	INR 28,885,532	494 828	6.i.
j. Japanese yen	JPY	JPY 14,194,750	145 643	6.j.
k. Swedish krona	SEK	SEK 441,098	67 723	6.k.
l. United States dollars	USD	USD 9,447,608	9 447 608	6.l.
n. Payments activity indicator (sum of items 6.a through 6.l)			19 612 434	6.n.

Section 7: Assets Under Custody	Amount	
a. Assets under custody indicator	810 804	7.a.

Section 8: Underwritten Transactions in Debt and Equity Markets	Amount	
a. Equity underwriting activity	2 191	8.a.
b. Debt underwriting activity	119 670	8.b.
c. Underwriting activity indicator (sum of items 8.a and 8.b)	121 861	8.c.

Bank Name: **Standard Chartered**

General Bank Data

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(2) Bank name	Standard Chartered	1.a.(2)
b. General Information provided by the reporting institution:		
(1) Reporting date (yyyy-mm-dd)	31/12/2013	1.b.(1)
(2) Reporting currency	USD	1.b.(2)
(3) Euro conversion rate	0.7251	1.b.(3)
(4) Reporting unit	1 000 000	1.b.(4)
(5) Accounting standard	IFRS	1.b.(5)
(6) Location of public disclosure	http://investors.sc.com/en/showresults.cfm?CategoryID=360	1.b.(6)

Complexity Indicators

Section 9: Notional Amount of Over-the-Counter (OTC) Derivatives	Amount	
a. OTC derivatives cleared through a central counterparty	905 636	9.a.
b. OTC derivatives settled bilaterally	4 569 590	9.b.
c. OTC derivatives indicator (sum of items 9.a and 9.b)	5 475 226	9.c.

Section 10: Trading and Available-for-Sale Securities	Amount	
a. Held-for-trading securities (HFT)	21 561	10.a.
b. Available-for-sale securities (AFS)	99 888	10.b.
c. Trading and AFS securities that meet the definition of Level 1 assets	49 012	10.c.
d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts	5 480	10.d.
f. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)	66 957	10.f.

Section 11: Level 3 Assets	Amount	
a. Level 3 assets indicator	4 464	11.a.

Cross-Jurisdictional Activity Indicators

Section 12: Cross-Jurisdictional Claims	Amount	
a. Foreign claims on an ultimate risk basis (excluding derivatives activity)	546 951	12.a.
c. Cross-jurisdictional claims indicator (item 12.a)	546 951	12.c.

Section 13: Cross-Jurisdictional Liabilities	Amount	
a. Foreign liabilities (excluding derivatives and local liabilities in local currency)	379 891	13.a.
(1) Any foreign liabilities to related offices included in item 13.a.	48 239	13.a.(1)
b. Local liabilities in local currency (excluding derivatives activity)	166 460	13.b.
d. Cross-jurisdictional liabilities indicator (sum of items 13.a and 13.b, minus 13.a.(1))	498 112	13.d.

Additional Indicators