## Public Meeting Register – Quarter 3/2016 – Adam Farkas

<table>
<thead>
<tr>
<th>Meeting Date</th>
<th>Event or meeting name (Location)</th>
<th>Entity(ies) / Stakeholder(s) met</th>
<th>Subject(s) covered</th>
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<tbody>
<tr>
<td>11/07/2016</td>
<td>Speaking at Symposium on Asian Banking and Finance “Financial Reform and Financial Stability: Does One Lead to the Other?” co-organised by the Federal Reserve Bank of San Francisco and the Monetary authority of Singapore (San Francisco)</td>
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<td>Panel discussion: Cross-Border Regulatory Cooperation</td>
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<tr>
<td>14/07/2016</td>
<td>Meeting with PricewaterhouseCoopers (EBA)</td>
<td>Anthony Kruizinga, Partner</td>
<td>Observations from the market, challenges for banks; EBA regulatory agenda and priorities going forward; Brexit</td>
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Last updated: 28-Oct-16
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<tr>
<td>22/07/2016</td>
<td>Meeting with International Capital Strategies (London)</td>
<td>Douglas Rediker, Executive Chairman</td>
<td>Current regulatory agenda</td>
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<td>22/07/2016</td>
<td>Meeting with the Association for Financial Markets in Europe -AFME- and delegation, accompanied by EBA staff (EBA)</td>
<td>Michael Lever, AFME Jacqueline Mills, AFME Jean Gabriel Albigot, Societe Generale Dee Ray, BAML Robert Wagner, Danske Markets</td>
<td>BCBS reduction of capital sensitivity; internal models; Key Basel and EU priorities</td>
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<td>25/07/2016</td>
<td>Meeting with AFME, together with the EBA chairperson (EBA)</td>
<td>Simon Lewis, Chief Executive</td>
<td>European Supervisory Authorities (ESAs) review</td>
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<td></td>
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<td>Michael Lever, MD Prudential</td>
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<td>Supervision</td>
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<td>Paul McGhee, Director Strategy</td>
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<td>Tanguy van de Werve, MD Advocacy</td>
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<td>&amp; Head of Brussels office</td>
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<tr>
<td>27/07/2016</td>
<td>Meeting with IIF and delegation; together with EBA Chairperson, Regulations Director and EBA staff (EBA)</td>
<td>Douglas Flint, Group Chairman, HSBC</td>
<td>Analytical study on quantitative capital impact of the Basel reform</td>
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<td>Tim Adams, President and CEO, IIF</td>
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<td>Andres Portilla, Managing Director,</td>
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<td>Regulatory Affairs, IIF</td>
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<td>David Shraa, Regulatory Counsel, IIF</td>
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<td>Faryar Shirzad, Global Head of Government Affairs, Goldman Sachs</td>
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<td>Sandie O’Connor, Chief Regulatory Affairs Officer, JP Morgan Chase &amp; Co</td>
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<td>Mark Gheerbrant, Head of Risk and Capital -ISDA</td>
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<td>27/07/2016</td>
<td>Meeting with PricewaterhouseCoopers (London)</td>
<td>Zoltan Biro, Director UK</td>
<td>Current regulatory agenda</td>
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<td>27/07/2016</td>
<td>Meeting with Goldman Sachs International (London)</td>
<td>Martine Doyon, Head of Government Affairs EMEA</td>
<td>Current regulatory agenda</td>
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<td>28/07/2016</td>
<td>Meeting with International Capital Market Association -ICMA- (London)</td>
<td>Tim Skeet, Senior Adviser</td>
<td>Current regulatory topics</td>
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<td>08/09/2016</td>
<td>Attending EUROFI High Level conference (Bratislava)</td>
<td>Bilateral meeting with IIF, together with EBA chairperson: Timothy D. Adams, President and CEO Andres Portilla, Managing Director, Regulatory Affairs Davide Schraa, Regulatory Counsel</td>
<td>Current regulatory topics</td>
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<td>08/09/2016</td>
<td>Bilateral meeting with JPM Morgan, together with EBA chairperson: Vittorio Grilli, Chairman of JP Morgan Corporate &amp; Investment Bank EMEA; Sandra O’Connor, Chief Regulatory Affairs Officer; Sally Dewar, Head of Regulatory Policy, EMEA; Head of Government Relations, EMEA &amp; APAC; Sander Schol, Government Relations, EMEA</td>
<td>Exchange thoughts on the current EU financial regulatory agenda</td>
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<td>08/09/2016</td>
<td>Bilateral meeting with State Street: Stefan Gavell, Global Head, Regulatory, Industry &amp; Government Affairs Sven Kasper, EMEA Head, Regulatory, Industry &amp; Government Affairs Simon Zornoza, Chief Regulatory Counsel</td>
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<td>Issues of relevance to custody banks, in particular European and international work on banks’ prudential requirements</td>
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<td>09/09/2016</td>
<td>Bilateral meeting with GE Capital, together with EBA Chairperson: Tom Clark, Executive Counsel, Government Relations, Nickolas Reinhardt, Director Afore Consulting</td>
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<td>Promotion of infrastructure financing which is now becoming a core activity of the restructured and more focused activities of GE Capital</td>
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<td>09/09/2016</td>
<td>Bilateral meeting with BNY Mellon, together with EBA Chairperson: Michael Cole-Fontayn, Chairman EMEA Veronica Iommi, Head of Public Policy EMEA</td>
<td></td>
<td>Current regulatory topics including the role of custodians, minimum requirement for own funds and eligible liabilities (MREL) and Total Loss-Absorbing Capacity (TLAC), Operational Continuity, potential consequences of Brexit for financial services regulation and the role of ESAs</td>
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