

Guidelines compliance table

EBA/GL/2017/14

1 November 2017; Date of application
– 1 January 2018 (Updated – 05 June 2018)

Guidelines on supervision of significant branches

The following competent authorities* comply or intend to comply with the EBA's Guidelines on supervision of significant branches:

| Member State | Competent authority | Complies or intends to comply | Comments | |
|--------------|---------------------|---|----------------------------|--------------------------------------|
| BE | Belgium | National Bank of Belgium | Yes | As at 12.04.2018, notification date. |
| BG | Bulgaria | Българска народна банка (Bulgarian National Bank) | Intends to comply** | From 31.05.2018. |
| CZ | Czech Republic | Czech National Bank | Yes | As at 16.03.2018, notification date. |
| DK | Denmark | Finanstilsynet | Yes | As at 10.04.2018, notification date. |
| DE | Germany | Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin) | Yes | As at 16.04.2018, notification date. |
| EE | Estonia | Finantsinspektsioon | Yes | As at 16.04.2018, notification date. |
| IE | Ireland | Central Bank of Ireland | Yes | As at 16.04.2018, notification date. |
| EL | Greece | Bank of Greece | Intends to comply** | By 30.06.2018. |

| | Competent authority | Complies or intends to comply | Comments |
|----|--|--------------------------------------|---|
| HR | Croatia Hrvatska narodna banka (Croatian National Bank) | Intends to comply** | By the date a relevant institution exist in my jurisdiction. The Guidelines on supervision of significant branches address identification and supervision of significant (and significant-plus) branches. Currently, there is only one branch in Croatia that is considered neither significant nor significant-plus so there are no institutions within the scope of the Guidelines. However, if such an institution appears on the market, we will be ready to apply the guidelines (we have included the Guidelines on supervision of significant branches in the local supervisory procedures (SREP Methodology of the Croatian National Bank). |
| ES | Spain Banco de España | Yes | As at 18.04.2018, notification date. |
| FR | France Prudential Supervision and Resolution Authority (ACPR) | No response | No notification of compliance was received by the EBA within the two-month time limit. |
| IT | Italy Bank of Italy | Intends to comply** | By June 2018. |
| CY | Cyprus Central Bank of Cyprus | Yes | As at 20.04.2018, notification date. |
| LV | Latvia Financial and Capital Market Commission | Intends to comply** | By 31.12.2018. |
| LT | Lithuania Bank of Lithuania | Intends to comply** | By 31.03.2018. |
| LU | Luxembourg Commission de Surveillance du Secteur Financier (CSSF) | Yes | As at 16.04.2018, notification date. |
| HU | Hungary Central Bank of Hungary | Intends to comply** | By 30.04.2018. |
| MT | Malta Malta Financial Services | Yes | As at 17.04.2018, notification date. |
| NL | Netherlands De Nederlandsche Bank | Yes | As at 05.04.2018, notification date. |

| | | Competent authority | Complies or intends to comply | Comments |
|----|----------------|-------------------------------------|--------------------------------------|--------------------------------------|
| AT | Austria | Austrian Financial Market Authority | Yes | As at 06.04.2018, notification date. |
| PL | Poland | Komisja Nadzoru Finansowego | Yes | As at 20.04.2018, notification date. |
| PT | Portugal | Banco de Portugal | Intends to comply** | By 01.05.2018. |
| RO | Romania | National Bank of Romania | Yes | As at 17.04.2018, notification date. |
| SI | Slovenia | Bank of Slovenia | Yes | As at 28.03.2018, notification date. |
| SK | Slovakia | Národná banka Slovenska | Yes | As at 12.04.2018, notification date. |
| FI | Finland | Finanssivalvonta (FIN-FSA) | Yes | As at 10.04.2018, notification date. |
| SE | Sweden | Finansinspektionen | Yes | As at 13.04.2018, notification date. |
| UK | United Kingdom | PRA | Yes | As at 16.04.2018, notification date. |
| | | Financial Conduct Authority | Yes | As at 17.04.2018, notification date. |

EU Institutions – Agencies

| | | | | |
|-----|-----|---|------------|--------------------------------------|
| ECB | ECB | European Central Bank (for Significant Institutions as defined in Council Regulation (EU) No 1024/2013 of 15 October 2013 conferring specific tasks on the European Central Bank concerning policies relating to the prudential supervision of credit institutions) | Yes | As at 20.03.2018, notification date. |
|-----|-----|---|------------|--------------------------------------|

EEA – EFTA State

| | | | | |
|----|---------|--|----------------------------|--|
| IS | Iceland | Financial Supervisory Authority, Iceland | Intends to comply** | By such time as the necessary legislative or regulatory proceedings have been completed. |
|----|---------|--|----------------------------|--|

| | | Competent authority | Complies or intends to comply | Comments |
|----|---------------|--|--------------------------------------|--------------------------------------|
| LI | Liechtenstein | Financial Market Authority Liechtenstein (FMA) | Yes | As at 13.04.2018, notification date. |
| NO | Norway | Finanstilsynet | Yes | As at 16.04.2018, notification date. |

European Territories under Article 355(3) TFEU

| | | | | |
|----|----------------|---|------------|--------------------------------------|
| UK | United Kingdom | Gibraltar Financial Services Commission | Yes | As at 05.06.2018, notification date. |
|----|----------------|---|------------|--------------------------------------|

*The EEA States other than the Member States of the European Union are not currently required to notify their compliance with the EBA's Guidelines. This table is based on information provided from those EEA States on a voluntary basis.

** Please note that, in the interest of transparency, if a competent authority continues to intend to comply after the application date, it will be considered "non-compliant" unless (A) the Guidelines relate to a type of institution or instruments which do not currently exist in the jurisdiction concerned; or (B) legislative or regulatory proceedings have been initiated to bring any national measures necessary to comply with the Guidelines in force in the jurisdiction concerned.

Notes

Article 16(3) of the EBA's Regulations requires national competent authorities to inform us whether they comply or intend to comply with each Guideline or recommendation we issue. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.

The EBA endeavour to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, the EBA cannot accept responsibility for its contents or any reliance placed on it.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from the EBA's website www.eba.europa.eu.