

# Guidelines compliance table

EBA/GL/2016/09 Appendix 1

04 January 2017; Date of application –  
1 March 2017

## Guidelines on corrections to modified duration for debt instruments under the second subparagraph of Article 340(3) of Regulation (EU) 575/2013 support for securitisation transactions

The following competent authorities\* comply or intend to comply with the EBA's Guidelines on corrections to modified duration for debt instruments:

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
Member State				
BE	Belgium	National Bank of Belgium	<b>Yes</b>	As at 01.03.2017, notification date.
BG	Bulgaria	Българска народна банка (Bulgarian National Bank)	<b>Yes</b>	As at 06.03.2017, notification date.
CZ	Czech Republic	Česká Národní Banka (Czech National Bank)	<b>Intends to comply**</b>	By 01.03.2017
DK	Denmark	Danish Financial Supervisory Authority	<b>Yes</b>	As at 06.03.2017, notification date.
DE	Germany	Bundesanstalt für Finanzdienstleistungsaufsicht (Federal Financial Supervisory Authority)	<b>Yes</b>	As at 06.03.2017, notification date.
EE	Estonia	Finantsinspektsioon	<b>Yes</b>	As at 16.02.2017, notification date.
IE	Ireland	Central Bank of Ireland	<b>Yes</b>	As at 28.02.2017, notification date.

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
EL	Greece	Τράπεζα της Ελλάδος (Bank of Greece)	<b>Intends to comply**</b>	By 31.05.2017.
HR	Croatia	Hrvatska narodna banka (Croatian National Bank)	<b>Yes</b>	As at 27.02.2017, notification date. Guidelines on corrections to modified duration for debt instruments under Article 340(3) of Regulation (EU) 575/2013/EU (EBA/GL/2016/09) are not currently relevant for our credit institutions because credit institutions in Croatia do not apply the method specified under Article 340.
ES	Spain	Banco de España (Bank of Spain)	<b>Yes</b>	As at 02.03.2017, notification date.
FR	France	ACPR	<b>Yes</b>	As at 28.02.2017, notification date. ACPR complies with EBA/GL/2016/09 through a mention (see para 130 bis sqq) in its Notice "Modalités de calcul des ratios prudentiels dans le cadre de la CRDIV"; <a href="http://acpr.banque-france.fr/fileadmin/user_upload/acpr/publications/registre-officiel/20170222_Notece_2016.pdf">http://acpr.banque-france.fr/fileadmin/user_upload/acpr/publications/registre-officiel/20170222_Notece_2016.pdf</a>
IT	Italy	Banca d'Italia (Bank of Italy)	<b>Intends to comply**</b>	By the time necessary to modify the relevant regulation (presumably by December 2017).
CY	Cyprus	Κεντρική Τράπεζα της Κύπρου (Central Bank of Cyprus)	<b>No response</b>	No notification of compliance was received by the EBA within the two-month time limit.
LV	Latvia	Financial and Capital Market Commission	<b>Intends to comply**</b>	By 01.06.2017.
LT	Lithuania	Lietuvos Bankas (Bank of Lithuania)	<b>Intends to comply**</b>	By 31.03.2017.
LU	Luxembourg	Commission de Surveillance du Secteur Financier (CSSF)	<b>Intends to comply**</b>	By 31.03.2017.
HU	Hungary	Magyar Nemzeti Bank (The Central Bank of Hungary)	<b>Yes</b>	As at 06.03.2016, notification date.
MT	Malta	Malta Financial Services Authority	<b>Intends to comply**</b>	By such time as the necessary legislative or regulatory proceedings have been completed.

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
NL	Netherlands	De Nederlandsche Bank	<b>Yes</b>	As at 14.03.2017, notification date.
AT	Austria	Austrian Financial Market Authority	<b>Yes</b>	As at 03.03.2017, notification date.
PL	Poland	Komisja Nadzoru Finansowego	<b>Yes</b>	As at 06.03.2017, notification date.
PT	Portugal	Banco de Portugal (Bank of Portugal)	<b>Yes</b>	As at 03.03.2017, notification date.
RO	Romania	Banca Națională a României (National Bank of Romania)	<b>Yes</b>	As at 27.02.2017, notification date.
SI	Slovenia	Banka Slovenije (Bank of Slovenia)	<b>Intends to comply**</b>	By 01.03.2017.
SK	Slovakia	Národná Banka Slovenska (National Bank of Slovakia)	<b>No</b>	Does not comply and does not intend to comply with all or parts of the Guidelines.  The Guidelines on corrections to modified duration for debt instruments under the second subparagraph of Article 340(3) of Regulation (EU) 575/2013 are not relevant for Slovak banking sector.
FI	Finland	Finanssivalvonta (IN-FSA) (for Less Significant Institutions)	<b>Yes</b>	As at 01.03.2017, notification date.  We have made amendments to FIN-FSA Regulations and Guidelines 25/2013 where we advise supervised entities to comply with the Guidelines. The amended Regulations and Guidelines 25/2013 will be published shortly <a href="#">here</a> .
SE	Sweden	Finansinspektionen (SE-FSA)	<b>Yes</b>	As at 06.03.2017.
UK	United Kingdom	Prudential Regulation Authority (PRA)	<b>Intends to comply**</b>	By 06.03.2017.
		Financial Conduct Authority (FCA)	<b>Intends to comply**</b>	By 06.03.2017.

**EU Institutions – Agencies**

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
ECB	ECB	ECB	<b>Yes</b>	As at 06.03.2017, notification date.

**EEA – EFTA State**

IS	Iceland	Financial Supervisory Authority, Iceland	<b>Intends to comply**</b>	By 01.07.2017.
LI	Liechtenstein	Financial Market Authority Liechtenstein (FMA)	<b>Yes</b>	As at 06.03.2017, notification date.
NO	Norway	The Financial Supervisory Authority of Norway	<b>Intends to comply**</b>	By such time as the necessary legislative or regulatory proceedings have been completed.

**European Territories under Article 355(3) TFEU**

UK	United Kingdom	Financial Services Commission (Gibraltar)	<b>Yes</b>	As at 07.02.2017, notification date.
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\*The EEA States other than the Member States of the European Union are not currently required to notify their compliance with the EBA's Guidelines. This table is based on information provided from those EEA States on a voluntary basis.

\*\* Please note that, in the interest of transparency, if a competent authority continues to intend to comply after the application date, it will be considered "non-compliant" unless (A) the Guidelines relate to a type of institution or instruments which do not currently exist in the jurisdiction concerned; or (B) legislative or regulatory proceedings have been initiated to bring any national measures necessary to comply with the Guidelines in force in the jurisdiction concerned.

**Notes**

Article 16(3) of the EBA's Regulations requires national competent authorities to inform us whether they comply or intend to comply with each Guideline or recommendation we issue. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.

The EBA endeavour to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, the EBA cannot accept responsibility for its contents or any reliance placed on it.



For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from the EBA's website [www.eba.europa.eu](http://www.eba.europa.eu).