

# Recommendations compliance table

EBA/REC/2015/02 Appendix 1

23 January 2016; Date of application –  
From 12 September 2015 (Updated 20  
March 2018)

## Recommendations amending EBA/REC/2015/01 on Equivalence of Confidentiality Regimes (Amending - EBA/REC/2015/02)

The following competent authorities\* comply or intend to comply with EBA's Recommendations amending on Equivalence of Confidentiality Regimes:

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
Member State				
BE	Belgium	National Bank of Belgium	<b>Yes</b>	As at 12.12.2016, notification date.
BG	Bulgaria	Българска народна банка (Bulgarian National Bank)	<b>No response</b>	No notification was received by the EBA within the two-month limit
CZ	Czech Republic	Česká Národní Banka (Czech National Bank)	<b>Yes</b>	As at 19.01.2017, notification date.
DK	Denmark	Finanstilsynet (Danish Financial Supervisory Authority)	<b>Yes</b>	As at 09.03.2017, notification date.
DE	Germany	Bundesanstalt für Finanzdienstleistungsufsicht (BaFin)	<b>Yes</b>	As at 23.01.2017, notification date.
EE	Estonia	Finantsinspektsioon (Financial Supervision)	<b>Yes</b>	As at 16.12.2016, notification date.

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
		Authority)		
IE	Ireland	Central Bank of Ireland	<b>Yes</b>	As at 08.02.2017, notification date.
EL	Greece	Τράπεζα της Ελλάδος (Bank of Greece)	<b>Intends to comply**</b>	By 31.01.2017.
HR	Croatia	National Bank of Croatia	<b>Yes</b>	As at 28.11.2016, notification date.
ES	Spain	Banco de España (Bank of Spain)	<b>Yes</b>	As at 24.01.2017, notification date.
FR	France	Autorité de Contrôle Prudentiel et de Résolution (Prudential Supervisory & Resolution Authority)	<b>Yes</b>	As at 19 October 2015 (Notification date). The internal website specifies that, for participation of third country authorities in colleges of supervisors, the ACPR relies directly on the work undertaken by EBA on the equivalence of confidentiality regimes without conducting its own analysis, in accordance with the recommendations of EBA [ <a href="#">Recommendations EBA/REC/2015/02</a> ].
IT	Italy	Banca d'Italia (Bank of Italy)	<b>Yes</b>	As at 09.03.2017, notification date. The Italian institutional framework does not require specific legislative and/or regulatory provisions for the application of the Recommendations on the equivalence of third-country confidentiality regimes. The supervisory approaches and practices put in place by the Bank of Italy for cooperation and information exchange with third countries are in line with the EBA Recommendation.
CY	Cyprus	Κεντρική Τράπεζα της Κύπρου (Central Bank of Cyprus)	<b>No response</b>	No notification was received by the EBA within the two-month limit
LV	Latvia	Finanšu un Kapitāla tirgus Komisija (Financial and Capital Market Commission)	<b>Yes</b>	As at 18.01.2017, notification date.

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
LT	Lithuania	Lietuvos Bankas (Bank of Lithuania)	<b>No response</b>	No notification was received by the EBA within the two-month limit
LU	Luxembourg	Commission de Surveillance du Secteur Financier (Commission for the Supervision of Financial Sector)	<b>Yes</b>	As at 23.01.2017, notification date.
HU	Hungary	Magyar Nemzeti Bank (The Central Bank of Hungary)	<b>Yes</b>	As at 23.01.2017, notification date.
MT	Malta	Malta Financial Services Authority	<b>Intends to comply**</b>	By such time as the necessary legislative or regulatory proceedings have been completed.
NL	Netherlands	De Nederlandsche Bank	<b>Yes</b>	As at 16.01.2017, notification date.
AT	Austria	Austrian Financial Market Authority	<b>Yes</b>	As at 23.01.2017, notification date.
PL	Poland	Komisja Nadzoru Finansowego (Polish Financial Supervision Authority)	<b>Yes</b>	As at 09.03.2017, notification date.
PT	Portugal	Banco de Portugal (Bank of Portugal)	<b>Yes</b>	As at 31.01.2017, notification date.
RO	Romania	National Bank of Romania	<b>Yes</b>	As at 27.07.2017, notification date.
SI	Slovenia	Banka Slovenije (Bank of Slovenia)	<b>Yes</b>	As at 10.01.2017, notification date. The Bank of Slovenia makes decisions regarding the application of guidelines and recommendations issued by the European Banking Authority. Decisions regarding the application of such guidelines or recommendations are published in the Official Gazette of the Republic of Slovenia.  Bank of Slovenia complies with the guidelines at hand and a separate Bank of Slovenia Regulation on the use of the Recommendations amending Recommendations

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
				EBA/REC/2015/01 on the equivalence of the confidentiality regimes (EBA/REC/2015/02) was issued for this purpose.
SK	Slovakia	Národná Banka Slovenska (National Bank of Slovakia)	<b>No response</b>	No notification was received by the EBA within the two-month limit
FI	Finland	Finanssivalvonta (Finnish Financial Supervisory Authority)	<b>No response</b>	No notification was received by the EBA within the two-month limit
SE	Sweden	Finansinspektionen (Swedish Financial Supervisory Authority)	<b>Intends to comply**</b>	By the application date of the Recommendations.
UK	United Kingdom	Prudential Regulation Authority (PRA)	<b>No response</b>	No notification was received by the EBA within the two-month limit
		Financial Conduct Authority (FCA)	<b>No response</b>	No notification was received by the EBA within the two-month limit.

**EU Institutions - Agencies**

ECB	ECB	European Central Bank (for significant institutions as defined in Council Regulation (EU) No 1024/2013 of 15 October 2013 conferring specific tasks on the European Central Bank concerning policies relating to the prudential supervision of credit institutions)	<b>Yes</b>	As at 02.10.2017, notification date.
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**EEA – EFTA State**

IS	Iceland	Fjármálaeftirlitið (Icelandic Financial Supervisory Authority - FME)	<b>No response</b>	No notification was received by the EBA within the two-month limit.
LI	Liechtenstein	Financial Market Authority (FMA)	<b>Yes</b>	As at 15.01.2018, notification date.
NO	Norway	Finanstilsynet	<b>Yes</b>	As at 01.08.2017, notification date.

		Competent authority	Complies or intends to comply	Comments
<b>European Territories under Article 355(3) TFEU</b>				
UK	United Kingdom	Financial Services Commission (Gibraltar)	Yes	As at 18.01.2017, notification date.

\*The EEA States other than the Member States of the European Union are not currently required to notify their compliance with the EBA's Guidelines. This table is based on information provided from those EEA States on a voluntary basis.

\*\* Please note that, in the interest of transparency, if a competent authority continues to intend to comply after the application date, it will be considered "non-compliant" unless (A) the Guidelines relate to a type of institution or instruments which do not currently exist in the jurisdiction concerned; or (B) legislative or regulatory proceedings have been initiated to bring any national measures necessary to comply with the Guidelines in force in the jurisdiction concerned.

### Notes

Article 16(3) of the EBA's Regulations requires national competent authorities to inform us whether they comply or intend to comply with each Guideline or recommendation we issue. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.

The EBA endeavour to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, the EBA cannot accept responsibility for its contents or any reliance placed on it.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from the EBA's website [www.eba.europa.eu](http://www.eba.europa.eu).