

Bank name:

Standard Chartered

General Bank Data

Section 1 - General Information	GSIB	Response	
a. General information provided by the relevant supervisory authority:			
(1) Country code	1001	GB	1.a.(1)
(2) Bank name	1002	StandardChartered	1.a.(2)
(3) Reporting date (yyyy-mm-dd)	1003	2014-12-31	1.a.(3)
(4) Reporting currency	1004	USD	1.a.(4)
(5) Euro conversion rate	1005	0.823655383	1.a.(5)
b. General Information provided by the reporting institution:			
(1) Reporting unit	1007	1,000,000	1.b.(1)
(2) Accounting standard	1008	IFRS	1.b.(2)
(3) Date of public disclosure (yyyy-mm-dd)	1009	2015-04-30	1.b.(3)
(4) Language of public disclosure	1010	English	1.b.(4)
(5) Web address of public disclosure	1011	http://files.shareholder.com/downloads/STANCHAR/21942380x0x824	1.b.(5)

Size Indicator

Section 2 - Total Exposures	GSIB	Amount	
a. Counterparty exposure of derivatives contracts	1012	23,978	2.a.
b. Gross value of securities financing transactions (SFTs)	1013	29,856	2.b.
c. Counterparty exposure of SFTs	1014	9,963	2.c.
d. Other assets	1015	643,353	2.d.
(1) Securities received in SFTs that are recognised as assets	1016	0	2.d.(1)
e. Total on-balance-sheet items (sum of items 2.a, 2.b, 2.c, and 2.d, minus 2.d.(1))	1017	707,150	2.e.
f. Potential future exposure of derivative contracts	1018	46,254	2.f.
g. Notional amount of off-balance-sheet items with a 0% credit conversion factor	1019	110,883	2.g.
(1) Unconditionally cancellable credit card commitments	1020	22,466	2.g.(1)
(2) Other unconditionally cancellable commitments	1021	88,416	2.g.(2)
h. Notional amount of off-balance-sheet items with a 20% credit conversion factor	1022	23,155	2.h.
i. Notional amount of off-balance-sheet items with a 50% credit conversion factor	1023	72,639	2.i.
j. Notional amount of off-balance-sheet items with a 100% credit conversion factor	1024	15,004	2.j.
k. Total off-balance-sheet items (sum of items 2.f, 2.g, and 2.h through 2.j, minus 0.9 times the sum of items 2.g.(1) and 2.g.(2))	1025	168,140	2.k.
l. Entities consolidated for accounting purposes but not for risk-based regulatory purposes:			
(1) On-balance-sheet assets	1026	0	2.l.(1)
(2) Potential future exposure of derivatives contracts	1027	0	2.l.(2)
(3) Unconditionally cancellable commitments	1028	0	2.l.(3)
(4) Other off-balance-sheet commitments	1029	0	2.l.(4)
(5) Investment value in the consolidated entities	1030	0	2.l.(5)
m. Regulatory adjustments	1031	7,878	2.m.
n. Total exposures indicator (sum of items 2.a, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)	1032	867,412	2.n.

Interconnectedness Indicators

Section 3 - Intra-Financial System Assets	GSIB	Amount	
a. Funds deposited with or lent to other financial institutions	1033	96,911	3.a.
(1) Certificates of deposit	1034	9,210	3.a.(1)
b. Unused portion of committed lines extended to other financial institutions	1035	9,130	3.b.
c. Holdings of securities issued by other financial institutions:			
(1) Secured debt securities	1036	6,070	3.c.(1)
(2) Senior unsecured debt securities	1037	0	3.c.(2)
(3) Subordinated debt securities	1038	17,935	3.c.(3)
(4) Commercial paper	1039	0	3.c.(4)
(5) Equity securities	1040	8,744	3.c.(5)
(6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)	1041	5,203	3.c.(6)
d. Net positive current exposure of securities financing transactions with other financial institutions	1042	9,963	3.d.
e. Over-the-counter derivatives with other financial institutions that have a net positive fair value:			
(1) Net positive fair value	1043	21,936	3.e.(1)
(2) Potential future exposure	1044	30,947	3.e.(2)
f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))	1045	196,433	3.f.

Section 4 - Intra-Financial System Liabilities	GSIB	Amount	
a. Deposits due to depository institutions	1046	84,283	4.a.
b. Deposits due to non-depository financial institutions	1047	40,307	4.b.
c. Unused portion of committed lines obtained from other financial institutions	1048	0	4.c.
d. Net negative current exposure of securities financing transactions with other financial institutions	1049	5,628	4.d.
e. Over-the-counter derivatives with other financial institutions that have a net negative fair value:			
(1) Net negative fair value	1050	17,908	4.e.(1)
(2) Potential future exposure	1051	33,795	4.e.(2)
f. Intra-financial system liabilities indicator (sum of items 4.a through 4.e.(2))	1052	181,920	4.f.

Section 5 - Securities Outstanding	GSIB	Amount	
a. Secured debt securities	1053	0	5.a.
b. Senior unsecured debt securities	1054	24,146	5.b.
c. Subordinated debt securities	1055	22,948	5.c.
d. Commercial paper	1056	14,812	5.d.
e. Certificates of deposit	1057	28,782	5.e.
f. Common equity	1058	38,259	5.f.
g. Preferred shares and any other forms of subordinated funding not captured in item 5.c.	1059	15,390	5.g.
h. Securities outstanding indicator (sum of items 5.a through 5.g)	1060	144,338	5.h.

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Substitutability/Financial Institution Infrastructure Indicators

Section 6 - Payments made in the reporting year (excluding intragroup payments)	Reported in	Amount in specified currency	GSIB	Amount	
a. Australian dollars	AUD	AUD 214,931	1061	194,054	6.a.
b. Brazilian real	BRL	BRL 112,352	1062	47,884	6.b.
c. Canadian dollars	CAD	CAD 220,110	1063	199,413	6.c.
d. Swiss francs	CHF	CHF 64,554	1064	70,594	6.d.
e. Chinese yuan	CNY	CNY 31,538,782	1065	5,119,412	6.e.
f. Euros	EUR	EUR 1,764,540	1066	2,344,191	6.f.
g. British pounds	GBP	GBP 715,519	1067	1,178,933	6.g.
h. Hong Kong dollars	HKD	HKD 20,092,301	1068	2,590,904	6.h.
i. Indian rupee	INR	INR 27,183,274	1069	445,604	6.i.
j. Japanese yen	JPY	JPY 41,767,609	1070	395,795	6.j.
k. Swedish krona	SEK	SEK 273,308	1071	39,943	6.k.
l. United States dollars	USD	USD 19,299,500	1072	19,299,500	6.l.
m. Payments activity indicator (sum of items 6.a through 6.l)			1073	31,926,228	6.m.

Section 7 - Assets Under Custody	GSIB	Amount	
a. Assets under custody indicator	1074	913,390	7.a.

Section 8 - Underwritten Transactions in Debt and Equity Markets	GSIB	Amount	
a. Equity underwriting activity	1075	1,437	8.a.
b. Debt underwriting activity	1076	47,381	8.b.
c. Underwriting activity indicator (sum of items 8.a and 8.b)	1077	48,818	8.c.

Complexity indicators

Section 9 - Notional Amount of Over-the-Counter (OTC) Derivatives	GSIB	Amount	
a. OTC derivatives cleared through a central counterparty	1078	1,111,560	9.a.
b. OTC derivatives settled bilaterally	1079	6,033,456	9.b.
c. OTC derivatives indicator (sum of items 9.a and 9.b)	1080	7,145,016	9.c.

Section 10 - Trading and Available-for-Sale Securities	GSIB	Amount	
a. Held-for-trading securities (HFT)	1081	24,148	10.a.
b. Available-for-sale securities (AFS)	1082	102,817	10.b.
c. Trading and AFS securities that meet the definition of Level 1 assets	1083	64,460	10.c.
d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts	1084	5,836	10.d.
e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)	1085	56,670	10.e.

Section 11 - Level 3 Assets	GSIB	Amount	
a. Level 3 assets indicator (Assets valued using Level 3 measurement inputs)	1086	3,731	11.a.

Cross-Jurisdictional Activity Indicators

Section 12 - Cross-Jurisdictional Claims	GSIB	Amount	
a. Cross-jurisdictional claims indicator (Total foreign claims on an ultimate risk basis)	1087	551,851	12.a.

Section 13 - Cross-Jurisdictional Liabilities	GSIB	Amount	
a. Foreign liabilities (excluding derivatives and local liabilities in local currency)	1088	395,128	13.a.
(1) Any foreign liabilities to related offices included in item 13.a.	1089	32,584	13.a.(1)
b. Local liabilities in local currency (excluding derivatives activity)	1090	170,628	13.b.
c. Cross-jurisdictional liabilities indicator (sum of items 13.a and 13.b, minus 13.a.(1))	1091	533,172	13.c.

Ancillary Data