### General Bank Data

**Section 1: General Information**
- General information provided by the national supervisor:
  - a. Country code: UK
  - b. Bank name: Standard Chartered

**Section 2: Total Exposures**
- a. Counterparty exposure of derivative contracts (method 1): 20,147
- b. Gross value of securities financing transactions (SFTs): 19,884
- c. Counterparty exposure of SFTs: 14,166
- d. Other assets: 605,156
- e. (1) Securities received in SFTs that are recognized as assets: 0
- f. Total on-balance sheet items (sum of items 2.a, 2.b, 2.c, 2.d, and 2.e minus 2.d.(2)): 683,133
- g. Potential future exposure of derivative contracts (method 1): 17,954
- h. Total amount of off-balance sheet items with a 0% CCF (excluding intragroup payments): 133,481
- i. Unconditionally cancellable credit card commitments: 20,157
- j. Total amount of off-balance sheet items with a 20% CCF: 19,353
- k. Total amount of off-balance sheet items with a 50% CCF: 76,141
- l. Total amount of off-balance sheet items with a 100% CCF: 13,961
- m. Total off-balance sheet items (sum of items 2.j, 2.k, and 2.l through 2.j minus 0.5 times the sum of items 2.g.(1) and 2.g.(2)): 137,742

**Section 3: Interconnectedness Indicators**
- a. Funds deposited with or lent to other financial institutions: 114,879
- b. Certificates of deposit: 5,081
- c. Undrawn committed lines extended to other financial institutions: 6,431
- d. Holdings of securities issued by other financial institutions: 6,383
- e. Secured debt securities: 17,759
- f. Subordinated debt securities: 1,125
- g. Commercial paper: 5
- h. Block holding per entity or group of common and preferred shares: 1,181
- i. Offsetting share positions in relation to the specific stock holdings included in item 3.(1): 6
- j. Net positive current exposure of securities financing transactions with other financial institutions: 205
- k. Net positive current exposure of securities financing transactions with other financial institutions that have a net positive fair value: 3
- l. Net positive fair value (include collateral provided if it is within the master netting agreement): 11,151
- m. Net positive fair value: 3
- n. Total interconnectedness indicator (sum of items 3.a through 3.n): 151,726

**Section 4: Intra-Financial System Liabilities**
- a. Deposits due to depository institutions: 44,530
- b. Deposits due to non-depository financial institutions: 17,525
- c. Undrawn committed lines extended to other financial institutions: 0
- d. Net negative current exposure of securities financing transactions with other financial institutions: 57
- e. OTC derivatives with other financial institutions that have a net negative fair value: 20
- f. Net negative fair value (include collateral provided if it is within the masters netting agreement): 12,833
- g. Potential future exposure: 25,198
- h. Intra-financial system liabilities indicator (sum of items 4.a through 4.g): 120,184

**Section 5: Securities Outstanding**
- a. Secured debt securities: 0
- b. Secured unsecured debt securities: 26,177
- c. Subordinated debt securities: 20,391
- d. Commercial paper: 14,582
- e. Certificates of deposit: 23,246
- f. Common equity: 33,006
- g. Preferred shares and any other forms of subordinated funding not captured in item 5.a: 6
- h. Securities outstanding indicator (sum of items 5.a through 5.g): 124,816

### Subsidiaries/Financial Institution Infrastructure Indicators

**Section 6: Payments made in the reporting year (excluding intragroup payments)**

<table>
<thead>
<tr>
<th>Country Code</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>AUD</td>
<td>622,749</td>
</tr>
<tr>
<td>BRL</td>
<td>62,649</td>
</tr>
<tr>
<td>CAD</td>
<td>430,288</td>
</tr>
<tr>
<td>CHF</td>
<td>153,706</td>
</tr>
<tr>
<td>CNY</td>
<td>2,730,205</td>
</tr>
<tr>
<td>EUR</td>
<td>1,130,330</td>
</tr>
<tr>
<td>GBP</td>
<td>980,792</td>
</tr>
<tr>
<td>HKD</td>
<td>5,447,506</td>
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<tr>
<td>JPY</td>
<td>145,743</td>
</tr>
<tr>
<td>SEK</td>
<td>504,890</td>
</tr>
<tr>
<td>SGD</td>
<td>121,861</td>
</tr>
</tbody>
</table>

**Section 7: Assets Under Custody**

<table>
<thead>
<tr>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>930,807</td>
</tr>
</tbody>
</table>

**Section 8: Underwriting Transactions in Debt and Equity Markets**

<table>
<thead>
<tr>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>2,191</td>
</tr>
<tr>
<td>139,870</td>
</tr>
</tbody>
</table>

**Section 9: Accounting Standard**

<table>
<thead>
<tr>
<th>Accounting Standard</th>
</tr>
</thead>
<tbody>
<tr>
<td>IFRS</td>
</tr>
</tbody>
</table>

**Section 10: Location of public disclosure**

### General Bank Data

**Section 1: General Information**

- **Country code**: UK
- **Bank name**: Standard Chartered
- **Reporting date**: 31/12/2013
- **Reporting currency**: USD
- **Euro conversion rate**: 0.7251
- **Reporting unit**: 1 000 000
- **Accounting standard**: IFRS

### Complexity Indicators

**Section 9: Notional Amount of Over-the-Counter (OTC) Derivatives**

- **OTC derivatives cleared through a central counterparty**: 905,636
- **OTC derivatives settled bilaterally**: 4,569,590
- **OTC derivatives indicator (sum of items 9.a and 9.b)**: 5,475,226

**Section 10: Trading and Available-for-Sale Securities**

- **Held-for-trading securities (HFT)**: 21,561
- **Available-for-sale securities (AFS)**: 99,888
- **Trading and AFS securities that meet the definition of Level 1 assets**: 49,012
- **Trading and AFS securities that meet the definition of Level 2 assets, with haircuts**: 5,160
- **Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)**: 56,857

**Section 11: Level 3 Assets**

- **Level 3 assets indicator**: 4,184

### Cross-Jurisdictional Activity Indicators

**Section 12: Cross-Jurisdictional Claims**

- **Foreign claims on an ultimate risk basis (excluding derivatives activity)**: 546,951
- **Cross-jurisdictional claims indicator (item 12.a)**: 546,951

**Section 13: Cross-Jurisdictional Liabilities**

- **Foreign liabilities (excluding derivatives and local liabilities in local currency)**: 379,893
- **Local liabilities in local currency (excluding derivatives activity)**: 166,460
- **Cross-jurisdictional liabilities indicator (sum of items 13.a and 13.b, minus 13.a.(1))**: 418,113

### Additional Indicators

European Banking Authority official figures are on institutions’ website End-2013 G-SII disclosure exercise