

# Guidelines compliance table

EBA/GL/2015/03 Appendix 1

29 September 2015; Updated 5  
October 2018

## Guidelines on triggers for use of early intervention measures pursuant to Article 27 (4) of Directive 2014/59/EU

The following competent authorities\* comply or intend to comply with EBA's Guidelines on triggers for use of early intervention measures:

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
Member State				
BE	Belgium	National Bank of Belgium	<b>Yes</b>	As at 28 <sup>th</sup> September 2015. (Notification date).
BG	Bulgaria	Българска народна банка (Bulgarian National Bank)	<b>Yes</b>	<i>As at 31<sup>st</sup> July 2015 (Notification date).</i>
CZ	Czech Republic	Česká Národní Banka (Czech National Bank)	<b>Intends to comply**</b>	01.09.2015 – Intends to comply with the Guidelines from the effective date of BRRD's transposition into Czech law
DK	Denmark	Finanstilsynet (Danish Financial Supervisory Authority)	<b>Intends to comply**</b>	By 1 <sup>st</sup> January 2016
DE	Germany	<i>Bundesanstalt für Finanzdienstleistungsaufsicht (Federal Financial Supervisory Authority)</i>	<b>Yes</b>	As at 28 <sup>th</sup> September 2015 (Notification date).

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
EE	Estonia	<i>Finantsinspektsioon (Financial Supervision Authority)</i>	<b>Yes</b>	As at 29 <sup>th</sup> September 2015. (Notification date).
IE	Ireland	<i>Central Bank of Ireland</i>	<b>Intends to comply**</b>	By the application date of the Guidelines
EL	Greece	Τράπεζα της Ελλάδος (Bank of Greece)	<b>Yes</b>	
HR	Croatia	National Bank of Croatia	<b>Intends to comply**</b>	Croatian National Bank intends to comply with the respective Guidelines and incorporate them into the internal written procedures by 1.1.2016.
ES	Spain	Banco de España (Bank of Spain)	<b>Yes</b>	As at 28 <sup>th</sup> September 2015 (Notification date)
FR	France	Autorité de Contrôle Prudentiel et de Résolution (Prudential Supervisory & Resolution Authority)	<b>Intends to comply**</b>	Updated: By December 31 <sup>st</sup> 2015.
IT	Italy	Banca d'Italia (Bank of Italy)	<b>Intends to comply**</b>	By 31 <sup>st</sup> December 2016. We acknowledge that the GL apply from 1 <sup>st</sup> January 2016. However, given that the BRRD Directive has been implemented in Italy on November 2015, we are still working on amendments needed to our Internal Supervisory Guide, as well as to our level 2 legislation, where needed, in order to fully align them with the EBA Guidelines. Therefore, we indicated the deadline of 31 December 2016 in the form as the date within which we expect to have completed these works, even if we do not exclude to end them before. Furthermore, it should be noted that, from 31 December 2015, even if we have not formally implemented the EBA Guidelines, we already consider them as our framework of reference, where applicable.
CY	Cyprus	Κεντρική Τράπεζα της	<b>Intends to</b>	By 9 <sup>th</sup> October 2015

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
		Κύπρου (Central Bank of Cyprus)	<b>comply**</b>	
LV	Latvia	Finanšu un Kapitāla tirgus Komisija (Financial and Capital Market Commission)	<b>Intends to comply**</b>	By 31 <sup>st</sup> December 2015
LT	Lithuania	Lietuvos Bankas (Bank of Lithuania)	<b>Intends to comply**</b>	By 1 <sup>st</sup> July 2016
LU	Luxembourg	Commission de Surveillance du Secteur Financier (Commission for the Supervision of Financial Sector)	<b>Intends to comply**</b>	By such time as the necessary legislative or regulatory proceedings have been completed. The bill transposing the BRRD into Luxembourg law is currently under discussion at the Luxembourg Parliament.
HU	Hungary	Magyar Nemzeti Bank (The Central Bank of Hungary)	<b>Intends to comply**</b>	By 1 <sup>st</sup> January 2016.
MT	Malta	Malta Financial Services Authority	<b>Intends to comply**</b>	By such time as the necessary legislative or regulatory proceedings have been completed. These Guidelines shall be incorporated in the Competent Authority's operations manual.
NL	Netherlands	De Nederlandsche Bank (National Bank of Netherlands)	<b>Yes</b>	As at 29 <sup>th</sup> September 2015. (Notification date).
AT	Austria	Finanzmarktaufsicht (Financial Market Authority)	<b>Intends to comply**</b>	By 1 <sup>st</sup> January 2016
PL	Poland	Komisja Nadzoru Finansowego (Polish Financial Supervision Authority)	<b>Intends to comply**</b>	Starting from the moment the relevant provisions implementing the BRRD enter into force in Poland.
PT	Portugal	Banco de Portugal (Bank of Portugal)	<b>Intends to comply**</b>	By 1 <sup>st</sup> January 2016.
RO	Romania	Banca Națională a României (National Bank of Romania)	<b>Yes</b>	As of 14.12.2015, the date of entering into force of the law transposing the principles of the above mentioned Guidelines (Law no.312/2015 on the recovery and

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
				resolution of credit institutions and investment firms, as well as on amending and supplementing some normative acts in the financial field).
SI	Slovenia	Banka Slovenije (Bank of Slovenia)	<b>Intends to comply**</b>	By 1 <sup>st</sup> January 2016.
SK	Slovakia	Národná Banka Slovenska (National Bank of Slovakia)	<b>Yes</b>	As at 29 <sup>th</sup> September 2015 (Notification date)
FI	Finland	Finanssivalvonta (Finnish Financial Supervisory Authority)	<b>Intends to comply**</b>	By 1 <sup>st</sup> January 2016.
SE	Sweden	Finansinspektionen (Swedish Financial Supervisory Authority)	<b>Intends to comply**</b>	Once the BRRD is transposed into Swedish law in early 2016.
UK	United Kingdom	Prudential Regulation Authority (PRA)	<b>Yes</b>	As at 25 <sup>th</sup> September 2015 (Notification date).
		Financial Conduct Authority (FCA)	<b>Intends to comply**</b>	By 1 <sup>st</sup> January 2016

**EU Institutions - Agencies**

ECB	ECB	ECB	<b>Yes</b>	As at 23 <sup>rd</sup> September 2015 (Notification date)
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**EEA – EFTA State**

IS	Iceland	Fjármálaeftirlitið (Icelandic Financial Supervisory Authority - FME)	<b>Intends to comply**</b>	By December 2016. BRRD has not yet been incorporated into the EEA Agreement. Nonetheless, implementation of BRRD is in progress. The Ministry of Finance and Economic Affairs, The FME and The Central bank of Iceland are involved in that work. The EEA EFTA States remain engaged in a dialogue with the EU on how their participation in the European System of Financial Supervision will be secured.
LI	Liechtenstein	Finanzmarktaufsicht - FMA (Financial Market)	<b>Intends to comply**</b>	The Guidelines refer to Directive 2014/59/EU, establishing a recovery and resolution framework

		Competent authority	Complies or intends to comply	Comments
		Authority)		in the European Union. The Principality of Liechtenstein intends to implement this Directive on January 1, 2017
NO	Norway	Finanstilsynet (Norwegian Financial Supervisory Authority)	<b>Not applicable</b>	The Guidelines do not apply in the jurisdiction of the competent authority. Awaiting a final agreement on the implementation of the ESAs' regulations into the EEA agreement, the BRRD has not yet been incorporated into the EEA agreement, nor has a resolution authority in Norway yet been appointed. As soon as the relevant domestic legislation has been adopted and necessary decisions taken, the stance of the Norwegian resolution authority as regards compliance with this and other guidelines, will be communicated.

#### European Territories under Article 355(3) TFEU

UK	United Kingdom	Financial Services Commission (Gibraltar)	<b>Intends to comply**</b>	By 1 <sup>st</sup> January 2016.
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\*The EEA States other than the Member States of the European Union are not currently required to notify their compliance with the EBA's Guidelines. This table is based on information provided from those EEA States on a voluntary basis.

\*\* Please note that, in the interest of transparency, if a competent authority continues to intend to comply after the application date, it will be considered "non-compliant" unless (A) the Guidelines relate to a type of institution or instruments which do not currently exist in the jurisdiction concerned; or (B) legislative or regulatory proceedings have been initiated to bring any national measures necessary to comply with the Guidelines in force in the jurisdiction concerned.

#### Notes

Article 16(3) of the EBA's Regulations requires national competent authorities to inform us whether they comply or intend to comply with each Guideline or recommendation we issue. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.

The EBA endeavours to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, the EBA cannot accept responsibility for its contents or any reliance placed on it.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from the EBA's website [www.eba.europa.eu](http://www.eba.europa.eu).