

Guidelines compliance table

EBA/GL/2015/20 Appendix 1

03 August 2016 – Updated: 10 April 2017

Guidelines on limits on exposures to shadow banking entities which carry out banking activities outside a regulated framework under Article 395 (2) of Regulation (EU) No. 575/2013

The following competent authorities* comply or intend to comply with EBA's Guidelines on limits on exposures to shadow banking entities:

Member State		Competent authority	Complies or intends to comply	Comments
BE	Belgium	National Bank of Belgium	Intends to comply**	By September 2016.
BG	Bulgaria	Българска народна банка (Bulgarian National Bank)	Intends to comply**	By 01.01.2017.
CZ	Czech Republic	Česká Národní Banka (Czech National Bank)	Intends to comply**	By 01.01.2017.
DK	Denmark	Finanstilsynet (Danish Financial Supervisory Authority)	Yes	As at 03.08.2016, notification date.
DE	Germany	Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin)	Yes	As at 03.08.2016, notification date.
EE	Estonia	Finantsinspektsioon (Financial Supervision)	Yes	As at 03.08.2016, notification date.

		Competent authority	Complies or intends to comply	Comments
		Authority)		
IE	Ireland	Central Bank of Ireland (Banking Supervision: Analysis Division)	Intends to comply**	By 01.01.2017
		Central Bank of Ireland (Investment Firms and Funds Supervision)	Intends to comply**	By 01.01.2017
EL	Greece	Τράπεζα της Ελλάδος (Bank of Greece)	Intends to comply**	By 01.01.2017
HR	Croatia	National Bank of Croatia	Intends to comply**	By 01.01.2017
		Hrvatska agencija za nadzor financijskih usluga (Croatian Financial Services Supervisory Agency)	Intends to comply**	By 01.01.2017
ES	Spain	Banco de España (Bank of Spain)	Intends to comply**	By 01.01.2017
FR	France	Autorité de Contrôle Prudentiel et de Résolution (Prudential Supervisory & Resolution Authority)	Intends to comply**	By 01.01.2017.
IT	Italy	Banca d'Italia (Bank of Italy)	Intends to comply**	By 01.01.2017.
CY	Cyprus	Κεντρική Τράπεζα της Κύπρου (Central Bank of Cyprus)	Intends to comply**	By 30.11.2016.
LV	Latvia	Finanšu un Kapitāla tirgus Komisija (Financial and Capital Market Commission)	Intends to comply**	By 01.01.2017
LT	Lithuania	Lietuvos Bankas (Bank of Lithuania)	Intends to comply**	By 01.01.2017
LU	Luxembourg	Commission de Surveillance du Secteur Financier (CSSF)	Intends to comply**	By 01.01.2017

		Competent authority	Complies or intends to comply	Comments
HU	Hungary	Magyar Nemzeti Bank (Central Bank of Hungary)	Intends to comply**	By 31.12.2016.
MT	Malta	Malta Financial Services Authority	Intends to comply**	As at such time as the necessary legislative or regulatory proceedings have been completed.
NL	Netherlands	De Nederlandsche Bank (National Bank of Netherlands)	Intends to comply**	By 01.01.2017.
AT	Austria	Finanzmarktaufsicht (Financial Market Authority)	Intends to comply**	By 01.01.2017.
PL	Poland	Komisja Nadzoru Finansowego (Polish Financial Supervision Authority)	Yes	As at 03.08.2016, notification date.
PT	Portugal	Banco de Portugal (Bank of Portugal)	Intends to comply**	By 01.01.2017
RO	Romania	Banca Națională a României (National Bank of Romania)	Intends to comply**	By 01.01.2017.
SI	Slovenia	Banka Slovenije (Bank of Slovenia)	Intends to comply**	By 01.01.2017
SK	Slovakia	Národná Banka Slovenska (National Bank of Slovakia)	No	Does not comply and does not intend to comply. Entities to which these GLs on limits to shadow banking entities apply are under regulation in accordance with Act No 186/2009 Coll. Moreover, exposures to these entities are not substantial from the point of view of the market.
FI	Finland	Finanssivalvonta (FIN-FSA) (for Less Significant Institutions as defined in Council Regulation (EU) No. 1024/2013 of 15 October 2013 conferring specific tasks on the European	Intends to comply**	By 01.01.2017.

		Competent authority	Complies or intends to comply	Comments
		Central Bank concerning policies relating to the prudential supervision of credit institutions)		
SE	Sweden	Finansinspektionen (Swedish Financial Supervisory Authority)	Yes	As at 02.08.2016, notification date.
UK	United Kingdom	Prudential Regulation Authority (PRA)	Intends to comply**	By 01.01.2017.
		Financial Conduct Authority (FCA)	Intends to comply**	By 01.01.2017.

EU Institutions - Agencies

ECB	ECB	ECB	Yes	As at 08.07.2016, notification date.
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EEA – EFTA State

IS	Iceland	Fjármálaeftirlitið (Icelandic Financial Supervisory Authority - FME)	Intends to comply**	By 01.01.2017.
LI	Liechtenstein	Financial Market Authority Liechtenstein (FMA)	Yes	As at 30.03.2017, notification date.
NO	Norway	Finanstilsynet (Norwegian Financial Supervisory Authority)	Yes	As at 03.08.2016, notification date.

European Territories under Article 355(3) TFEU

UK	United Kingdom	Financial Services Commission (Gibraltar)	Yes	As at 13.12.2016, notification date.
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*The EEA States other than the Member States of the European Union are not currently required to notify their compliance with the EBA's Guidelines. This table is based on information provided from those EEA States on a voluntary basis.

** Please note that, in the interest of transparency, if a competent authority continues to intend to comply after the application date, it will be considered "non-compliant" unless (A) the Guidelines relate to a type of institution or instruments which do not currently exist in the

jurisdiction concerned; or (B) legislative or regulatory proceedings have been initiated to bring any national measures necessary to comply with the Guidelines in force in the jurisdiction concerned.

Notes

Article 16(3) of the EBA's Regulations requires national competent authorities to inform us whether they comply or intend to comply with each Guideline or recommendation we issue. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.

The EBA endeavour to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, the EBA cannot accept responsibility for its contents or any reliance placed on it.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from the EBA's website www.eba.europa.eu.